



# Bound-state soliton gas as a limit of adiabatically growing integrable turbulence

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## ABSTRACT

We study numerically the integrable turbulence in the framework of the one-dimensional nonlinear Schrödinger equation (1D-NLSE) of the focusing type using a new approach called the “growing of turbulence”. In this approach, we add a small linear pumping term to the equation and start evolution from statistically homogeneous Gaussian noise. After reaching a certain level of average intensity, we switch off the pumping and examine the resulting integrable turbulence. For sufficiently small initial noise and pumping coefficient, and also for not very wide simulation box (basin length), we observe that the turbulence grows in a universal adiabatic regime, moving successively through the statistically stationary states of the integrable 1D-NLSE, which do not depend on the pumping coefficient, amplitude of the initial noise or basing length. Waiting longer in the growth stage, we transit from weakly nonlinear states to strongly nonlinear ones, characterized by a high frequency of rogue waves. Using the inverse scattering transform (IST) method to monitor the evolution, we observe that the solitonic part of the wavefield becomes dominant even when the (linear) dispersion effects are still leading in the dynamics and with increasing average intensity the wavefield approaches a dense bound-state soliton gas, whose properties are defined by the Fourier spectrum of the initial noise. Regimes deviating from the universal adiabatic growth also lead to solitonic states, but solitons in these states have noticeably different velocities and a significantly wider distribution by amplitude, while the statistics of wavefield indicates a much more frequent appearance of very large waves.

## 1. Introduction

Statistical analysis of nonlinear integrable systems with random input, called in general *integrable turbulence* [1], is a rapidly developing area of theoretical and experimental research. Its main difference from the “ordinary” weak turbulence is the absence of resonant interactions, so that the collision term in the classic kinetic equation equals zero at any order of the perturbation theory. The analytic approach to the integrable turbulence is possible in two opposite situations: when nonlinearity is weak and the modified kinetic equation accounting for the non-resonant interactions can be written [2,3], or when the turbulence can be treated as a soliton gas [4–7]. Yet a lot of many interesting types of integrable turbulence with intermediate level of nonlinearity remain out of limits of analytic theory and can be studied by implementation of massive numerical experiments only [8–16].

Particular attention in the studies of integrable turbulence is paid to the one-dimensional nonlinear Schrödinger equation (1D-NLSE) of the focusing type,

$$i\psi_t + \psi_{xx} + |\psi|^2\psi = 0, \quad (1)$$

as it is one of the basic nonlinear equations suitable for the description of rogue waves — extremely large waves that appear unpredictably from moderate wave background [17–20]. The 1D-NLSE is integrable in terms of the *inverse scattering transform* (IST) method [21–23], allowing transformation to the so-called *scattering data* which is in one-to-one correspondence with the wavefield and changes trivially during the motion. Transformation to the scattering data includes the calculation of the eigenvalue spectrum for a specific auxiliary linear system, where

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the wavefield of the 1D-NLSE plays the role of the potential. For spatially localized potentials, the eigenvalue spectrum contains discrete (solitons) and continuous (nonlinear dispersive waves) parts, and can be used to completely characterize the wavefield; in this sense, the IST is often viewed as a nonlinear analogue to the Fourier transform [23]. However, to reconstruct the wavefield from the scattering data, one needs to find a solution to the nonlinear system of integral Gelfand–Levitan–Marchenko (GLM) equations. For a general case, this can only be done numerically, asymptotically at large time, or in the semi-classical approximation [24,25].

One special property of an integrable system is the conservation of an infinite series of invariants. This means, in particular, that different types of initial conditions are characterized by different sets of integrals of motion and, during the evolution, demonstrate different statistical behavior even in the long time, see e.g. [8,9]. Until now, the research of integrable turbulence has been concentrated on studies of evolution from different types of physically relevant initial conditions, such as perturbed condensate [9,26,27] and cnoidal waves [14], partially coherent wave [8,12,16] and its superposition with the condensate [10, 11], and also soliton gas [28–39]. In such studies, it is implied that the initial conditions are somehow prepared by an external actor, that resembles a setting of laboratory experiment. In nature, however, a different situation often takes place when waves (for instance, on the surface of water), currently traveling in a system, were generated from small perturbation in the same system under the influence of a time-limited external forcing.

In the present paper, developing further the approach suggested in [40], we mimic this situation and grow turbulence by adding a small pumping to the 1D-NLSE. Namely, we start from statistically homogeneous in space Gaussian noise and add a small linear pumping term to the integrable model (1), making it nonintegrable, and wait until the average intensity  $\langle |\psi|^2 \rangle$  of the wavefield reaches a certain level. Then, we switch off the pumping and examine the resulting integrable turbulence.

One of the phenomena actively studied in the integrable turbulence is the *statistically stationary state* — the state, in which statistical characteristics of wavefield are independent of time. The existence of such state was suggested in [1] and later corroborated in numerical simulations for some initial conditions, see e.g. [8–11,14,35,36]. The stationary state can be considered as an analogy to thermodynamic equilibrium in non-integrable systems and, if it exists, must be defined by the infinite series of integrals of motion, so that different series of invariants determine different stationary states.

A particular design of our numerical experiments allows us to grow integrable turbulence adiabatically, moving successively through the statistically stationary states. We achieve this by making the pumping term small compared to the dispersion and nonlinearity terms of the 1D-NLSE, so that the dynamics during the growth stage is driven primarily by the 1D-NLSE while the pumping slowly changes the integrals of motion. Also, we start growth stage from small initial noise, so that the initial turbulence is very weakly nonlinear and, therefore, practically stationary. As a natural analogy to our setup, one can consider an ideal gas in a box, to which one molecule is added from time to time, so that the system remains in thermodynamic equilibrium state, and this state changes slowly over time.

The 1D-NLSE model with a weak linear dumping or pumping term is a common object of studies in nonlinear physics. For instance, this equation appears when studying slowly varying wave packets on the surface of deep water under the action of wind, in the context of Bose–Einstein condensates and in fiber optics; see e.g. [41–46] and references therein. In contrast to these studies, which were mainly concentrated on the effects of dissipation or pumping on relatively large waves of various types over a relatively short time, we focus on the very specific problem of adiabatic growth of turbulence. As we show, this problem leads to a formulation, in which we start evolution from small noise, use very small pumping and keep the system in the growth stage for a

very long time until the wavefield is amplified by hundreds of times in terms of characteristic amplitude  $\langle |\psi| \rangle$ .

In the present paper, we demonstrate that for sufficiently small initial noise and pumping coefficient, and also for not very wide simulation box (basin length), the turbulence grows in a universal adiabatic regime. In this regime, when we switch off the pumping, the resulting integrable turbulence turns out to be stationary and does not depend on the pumping coefficient, amplitude of the initial noise or basin length. However, it depends significantly on the Fourier spectrum of initial noise and the current level of average intensity. In particular, increasing intensity with a longer evolution in the growth stage, we transit from weakly nonlinear states to intermediate nonlinearity and then to strongly nonlinear states characterized by a high frequency of rogue waves. Monitoring this evolution with the IST method, we observe that the solitonic part of the wavefield becomes dominant even when the (linear) dispersion effects are still leading in the dynamics and with increasing average intensity the wavefield approaches a dense bound-state soliton gas, whose properties are defined by the Fourier spectrum of initial noise. Regimes deviating from the universal adiabatic growth also lead to solitonic states, but solitons in these states have noticeably different velocities and a significantly wider distribution by amplitude, while the statistics of wavefield indicates a much more frequent appearance of very large waves.

The paper is organized as follows. In the next Section 2 we give a general overview for the problem of adiabatically growing integrable turbulence. In Section 3 we discuss our numerical methods and parameters. In Section 4 we study statistics of the “grown-up” turbulence and in Section 5 we examine the corresponding wavefields with the IST method. The final Section 6 contains conclusions and discussions. The paper has also several [Appendixes](#) discussing the theoretical formalism and numerical approaches to the IST method, as well as additional results related to the grown-up turbulence.

## 2. Growing of integrable turbulence

### 2.1. Formulation of the problem

We examine the long-time statistics of solutions to the following problem,

$$\begin{aligned} \Psi|_{\tau=0} &= C_0 h(\xi), \quad \overline{|h|^2} = 1, \\ \begin{cases} i\Psi_\tau + \beta\Psi_{\xi\xi} + \gamma|\Psi|^2\Psi = ip\Psi, & \text{while } \overline{|\Psi|^2} < C_f^2, \\ i\Psi_\tau + \beta\Psi_{\xi\xi} + \gamma|\Psi|^2\Psi = 0, & \text{for } \overline{|\Psi|^2} = C_f^2. \end{cases} \end{aligned}$$

Here  $\tau$  is time,  $\xi$  is spatial coordinate,  $\Psi$  is wavefield,  $C_0 h(\xi)$  is the initial noise and  $C_0$  is its mean amplitude, the function  $h(\xi)$  has unit average intensity  $\overline{|h|^2} = 1$  and characteristic spatial scale  $\delta\xi = \ell$ ,  $C_f$  is the final mean amplitude, and the positive coefficients  $\beta$ ,  $\gamma$  and  $p$  describe the dispersion, nonlinearity and pumping, respectively. The overline denotes spatial averaging,

$$\overline{|h|^2} = \frac{1}{A} \int_{-A/2}^{A/2} |h|^2 d\xi,$$

over a wide simulation box  $\xi \in [-A/2, A/2]$ ,  $A \gg \ell$ , which for the numerical study is taken with periodic boundaries. The average intensity  $\overline{|\Psi|^2}$  changes from  $C_0^2$  at  $\tau = 0$  to  $C_f^2 \gg C_0^2$  after turning off the pumping, and then remains constant, see Eq. (10) below.

After rescaling  $\tau = at$ ,  $\xi = bx$ ,  $\Psi = C\psi$  with the coefficients  $a = \ell^2/\beta$ ,  $b = \ell$  and  $C = (\beta/\gamma\ell^2)^{1/2}$ , we obtain the same problem in dimensionless form,

$$\psi|_{t=0} = A_0 f(x), \quad \overline{|f|^2} = 1, \quad (2)$$

$$\begin{cases} i\psi_t + \psi_{xx} + |\psi|^2\psi = ip_0\psi, & \text{while } \overline{|\psi|^2} < A_f^2, \\ i\psi_t + \psi_{xx} + |\psi|^2\psi = 0, & \text{for } \overline{|\psi|^2} = A_f^2, \end{cases} \quad (3)$$

where the function  $f(x)$  has unit average intensity and unit characteristic spatial scale  $\delta x = 1$ , while the coefficients  $A_0 = C_0/C$ ,  $A_f = C_f/C$  and  $p_0 = p\ell^2/\beta$  denote the rescaled initial and final mean amplitudes and pumping, respectively. The boundary conditions are periodic with a large period,  $x \in [-L/2, L/2]$ , where  $L = A/\ell \gg 1$ .

## 2.2. Conditions for adiabatic growth

In the present paper, we understand the adiabatic growth of turbulence as a quasistatic process, which runs successively through the statistically stationary states of the integrable 1D-NLSE. To engineer such a growth, we need to ensure that the dynamics is governed primarily by the terms of Eq. (1), and also start evolution from statistical state which is sufficiently close to stationary. One way to achieve the latter is to start from small noise, since in this case the initial state is almost linear and, without the pumping, the linear turbulence would be stationary. To set the parameters in Eqs. (2)–(3) accordingly, we analyze the characteristic time scales associated with the pumping, dispersion and nonlinearity terms, and also consider Eq. (3) in the Fourier space.

When the pumping is on, the characteristic amplitude increases exponentially, see e.g. Eqs. (10), (16) below, that defines the characteristic pumping time as  $t_p = 1/p_0$ . The characteristic dispersion time  $t_l$  is connected with the characteristic length  $l$  describing the function  $\psi$  as  $t_l = l^2/2$ , see e.g. [46]. In our numerical experiments with not very large final mean amplitudes  $A_f \lesssim 1$ , we observe that the Fourier spectrum of solution has the same characteristic width as the initial noise, meaning that  $l$  does not change with time considerably and we may assume  $l \simeq \delta x = 1$  at all times. Finally, the characteristic nonlinear time is inverse-proportional to the average intensity,  $t_{nl} = 1/|\psi|^2$ , and the latter changes from  $|\psi|^2 = A_0^2$  at  $t = 0$  to  $A_f^2$  at the final time.

Hence, the turbulence should grow in adiabatic regime (i) when the characteristic pumping time is much larger than both the dispersion and nonlinear times,  $t_p \gg t_l$  and  $t_p \gg t_{nl}$ , and (ii) when at the start of the growth stage the system is almost linear,  $t_l \ll t_{nl}|_{t=0}$ . These conditions are satisfied at all times if

$$\frac{1}{2} \ll \frac{1}{A_0^2} \ll \frac{1}{p_0} \quad \leftrightarrow \quad p_0 \ll A_0^2 \ll 2. \quad (4)$$

The above set of inequalities can be relaxed, provided that the difference between  $p_0$  and 2 is large enough in order of magnitude. Indeed, let us consider two experiments which differ only by the initial noise amplitudes  $A_1$  and  $A_2$ , where  $A_1$  satisfies the conditions (4),  $p_0 \ll A_1^2 \ll 2$ , and  $A_2$  is much smaller,  $A_2^2 \lesssim p_0$ . Then, in the growth stage of the second experiment, there exists a certain moment of time  $t^*$  when the average intensity  $|\psi^{(2)}|^2$  reaches level  $A_1^2$  of the initial conditions of the first experiment. Meanwhile, the equation of motion (3) on the time interval  $[0, t^*]$  has dispersion as the dominant term, i.e., remains practically linear, so that the nonlinear correlation between the Fourier modes should be negligible and the statistical state of the second experiment at time  $t^*$  should not differ from the initial conditions of the first one. These assumptions, confirmed in our numerical experiments, lead to the following conditions for adiabatic growth of turbulence,

$$p_0 \ll 2, \quad A_0^2 \ll 2. \quad (5)$$

Effectively, these conditions mean that, as long as the dispersion term is dominant in Eq. (3), the ratio between the nonlinearity and pumping is not important.

At the growth stage, it is also instructive to consider the equation of motion (3) in the Fourier space, in which there exists an explicit dependency on the wavenumber,

$$i \frac{\partial \psi_k}{\partial t} - k^2 \psi_k + (|\psi|^2 \psi)_k = i p_0 \psi_k. \quad (6)$$

Here  $(|\psi|^2 \psi)_k$  is the Fourier-transformed nonlinear term of the 1D-NLSE. For adiabatic growth of turbulence, we need to ensure that the pumping term is much smaller than all the other terms present in the equation, for all wavenumbers  $k = 2\pi m/L$ ,  $m \in \mathbb{Z}$ , including the smallest nonzero ones  $k = \pm \Delta k = \pm 2\pi/L$ , i.e.,

$$p_0 \ll \left( \frac{2\pi}{L} \right)^2 \quad \leftrightarrow \quad L \ll \frac{2\pi}{\sqrt{p_0}}, \quad (7)$$

where  $\Delta k = 2\pi/L$  is distance between neighbor wavenumbers. Note that, for the zeroth harmonic  $k = 0$ , the dispersion term in Eq. (6) is absent and the condition similar to (7) does not appear. For a wide basin length  $L \gg 1$ , the condition (7) is much more strict for the pumping coefficient  $p_0$  than Eq. (5).

In the adiabatic regime, the turbulence runs successively through the statistically stationary states, and the result of such an evolution must not depend on its speed. Hence, we can use the independence of the wave statistics on the pumping coefficient  $p_0$  – and, as follows from Eq. (7), on the basin length  $L$  too – as an additional test of adiabaticity. Conversely, if the statistics depends on  $p_0$  and  $L$ , then the growth regime is non-adiabatic.

Note that the adiabatic regime of the turbulence growth could be realized if the growth stage begins not with small noise, but with some other initial state, including an essentially nonlinear one, provided that this state is sufficiently close to stationary and the condition (7) is satisfied. The growth trajectory of such an adiabatic regime, i.e., the set of statistically stationary states through which the growth passes, must substantially depend on the initial state. In particular, in formulation of the problem (2)–(3), the noise amplitude might be small enough, so that the initial state is close to stationary and the adiabatic growth regime is realized, and at the same time large enough for the growth trajectory to be affected by the composition of noise, e.g., the presence of coherent structures such as solitons. In the present paper, we focus on the universal adiabatic regime, such that the decrease in the initial noise amplitude does not affect its trajectory at late growth stages.

As we discuss below, our simulations indicate that if the conditions (5), (7) are satisfied and the initial noise does not contain solitons, then the turbulence grows in this universal adiabatic regime. Moreover, if the conditions (5), (7) are violated or the initial noise contains solitons, then the turbulence grows either in a non-adiabatic regime, which depends on the pumping coefficient  $p_0$  and basin length  $L$ , or in a “non-universal” adiabatic regime depending on the noise amplitude  $A_0$ .

## 2.3. Invariants of the 1D-NLSE

Without pumping, Eq. (3) reduces to the 1D-NLSE (1), which conserves an infinite series of invariants [21,22]. In the present paper, we write them in a slightly modified form,

$$I_j = \frac{1}{L} \int_{-L/2}^{L/2} \psi A_j dx, \quad (8)$$

$$A_j = \frac{\partial A_{j-1}}{\partial x} + \frac{1}{2} \psi \sum_{l+m=j-1} A_l A_m, \quad (9)$$

where  $A_1 = \psi^*$ . The first three invariants are wave action (in our notations equals the average intensity),

$$\mathcal{N} = \overline{|\psi|^2} = \frac{1}{L} \int_{-L/2}^{L/2} |\psi|^2 dx = \sum_k |\psi_k|^2, \quad (10)$$

momentum

$$\mathcal{M} = \frac{i}{2L} \int_{-L/2}^{L/2} (\psi_x^* \psi - \psi_x \psi^*) dx = \sum_k k |\psi_k|^2, \quad (11)$$

and total energy

$$\mathcal{E} = \mathcal{H}_l + \mathcal{H}_{nl}, \quad (12)$$

$$\mathcal{H}_l = \overline{|\psi_x|^2} = \frac{1}{L} \int_{-L/2}^{L/2} |\psi_x|^2 dx = \sum_k k^2 |\psi_k|^2, \quad (13)$$

$$\mathcal{H}_{nl} = -\frac{1}{2} \overline{|\psi|^4} = -\frac{1}{2L} \int_{-L/2}^{L/2} |\psi|^4 dx. \quad (14)$$

Here  $\mathcal{H}_l$  is the kinetic energy,  $\mathcal{H}_{nl}$  is the potential energy and  $\psi_k$  is the Fourier-transformed wavefield,

$$\psi_k(t) = \frac{1}{L} \int_{-L/2}^{L/2} \psi(t, x) e^{-ikx} dx.$$

When the pumping is on, the functions (8)–(9) evolve with time. The integrands in (8) are polynomials of  $\psi$ ,  $\psi^*$  and their space derivatives. Differentiating these integrands over time, using the equation of motion (3) for the time derivatives and taking into account that for  $p_0 = 0$  the functions (8) are invariant, we get

$$d\mathcal{I}_j/dt = p_0 \times \frac{1}{L} \int_{-L/2}^{L/2} B_j dx, \quad (15)$$

where  $B_j$  are polynomials of  $\psi$ ,  $\psi^*$  and their space derivatives, which do not depend on  $p_0$  explicitly. In particular, for the wave action, momentum and total energy, the direct calculation yields

$$d\mathcal{N}/dt = 2p_0 \mathcal{N}, \quad (16)$$

$$d\mathcal{M}/dt = 2p_0 \mathcal{M}, \quad (17)$$

$$d\mathcal{E}/dt = 2p_0 (\mathcal{E} + \mathcal{H}_{nl}). \quad (18)$$

Thus, the wave action and momentum grow exponentially with time, and since  $\mathcal{N}|_{t=0} = A_0^2$  and  $\mathcal{N}|_{t=\infty} = A_f^2$ , the time  $t_0$  for turning off the pumping is determined as  $t_0 = \frac{1}{p_0} \ln \frac{A_f}{A_0}$ .

Evolution of the total energy is less trivial. For the function  $\psi$  having characteristic spacial scale  $l \simeq 1$  and average intensity  $\mathcal{N} = \overline{|\psi|^2}$ , the kinetic and potential energies can be estimated as  $\mathcal{H}_l \sim \mathcal{N}/l^2$  and  $|\mathcal{H}_{nl}| \sim \mathcal{N}^2$ . Then, at the early growth stage when the intensity is small  $\mathcal{N} \ll 1$ , the potential energy remains small compared to the kinetic one,  $|\mathcal{H}_{nl}| \ll \mathcal{H}_l$ , so that the total energy grows close to exponentially,  $\mathcal{E} \propto e^{2p_0 t}$ . Later, when the kinetic and potential energies become comparable, evolution of the total energy depends strongly on their interplay. The behavior of the next-order integrals (8) is expected to be even more complex.

Note that if two different realizations of the initial noise have the same values of wave action  $\mathcal{N}_0$  and the same values of momentum  $\mathcal{M}_0$ , then, after turning off the pumping, the two realizations of the grown-up wavefield will also have equal values of these integrals —  $\mathcal{N}_0 e^{2p_0 t_0}$  and  $\mathcal{M}_0 e^{2p_0 t_0}$ , respectively. The situation may be different for the next-order integrals, including the total energy, as their evolution relies also on some functions that may depend strongly on specific realization of the wavefield — for instance, the potential energy in Eq. (18). Thus, different realizations of the grown-up wavefield are expected to have different values of the total energy and the next-order integrals (8).

### 3. Numerical methods

#### 3.1. Numerical scheme

We solve Eq. (3) using the pseudo-spectral Runge–Kutta fourth-order method in adaptive grid, with the grid size  $\Delta x$  set from the analysis of the Fourier spectrum of the solution, see [9] for detail. For more accurate simulation of the growth stage, we rewrite Eq. (3) for the function  $v = e^{-p_0 t} \cdot \psi$ , eliminating the right-hand side of the equation. We have checked that after turning off the pumping the first ten integrals of motion (8)–(9) are conserved by our numerical scheme up to the relative errors from  $10^{-10}$  (the first three invariants) to  $10^{-6}$  (the tenth invariant) orders.

The function  $f(x)$ , that defines statistics of the initial noise in Eq. (2) and has unit average intensity and unit characteristic spatial scale, is constructed as a superposition of linear waves,

$$f(x) = \sum_k F_k e^{ikx + i\phi_k}, \quad F_k = G_s e^{-|k|^s}. \quad (19)$$

Here  $s \in \mathbb{N}$  is the exponent defining shape of the Fourier spectrum  $F_k$ ,  $\phi_k$  are random phases for each  $k$  and each realization of the initial noise,  $G_s = [\pi 2^{1/s} / L \Gamma_{1+1/s}]^{1/2}$  is the normalization constant such that the average intensity is unity,  $\overline{|f|^2} = 1$ ,  $L$  is the basin length, and  $\Gamma$  is the Euler Gamma-function. We perform simulations for several profiles of the noise spectrum (19), including exponential  $s = 1$ , Gaussian  $s = 2$ , and super-Gaussian  $s = 8$  and  $s = 32$ , and also for one generic (non-symmetric) Fourier spectrum  $F_k$  (referred to below as  $NS$ ), which is constructed as discussed in [16]. Specifically, the non-symmetric spectrum represents an arbitrary smooth function at small and moderate wavenumbers  $|k| \lesssim 1$ , decays as  $\propto e^{-k^2}$  at  $k \rightarrow -\infty$  and as  $\propto e^{-k^{32}}$  at  $k \rightarrow +\infty$ , and has zero momentum (11).

For each of the several numerical experiments presented in this paper, we perform simulations of time evolution in the formulation of Eqs. (2)–(3) for an ensemble of several hundred realizations of the initial noise, which differ only in the set of random Fourier phases  $\phi_k$  in Eq. (19), and then average the statistical results over these realizations. We have checked that larger ensemble sizes lead to the same statistical results.

As a “base” numerical experiment, we consider the one with parameters  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$ , so that the pumping is turned off at  $t_0 = \frac{1}{p_0} \ln \frac{A_f}{A_0} \approx 4.6 \times 10^5$ ; the statistical results are averaged over 200 random realizations of the initial noise. As can be easily verified, these parameters satisfy the conditions (5), (7) needed for the adiabatic growth of turbulence. After turning off the pumping, the kinetic and potential energies should be of unity order for this experiment,  $\mathcal{H}_l \sim \mathcal{N}/l^2 \sim 1$  and  $|\mathcal{H}_{nl}| \sim \mathcal{N}^2 = 1$ , so that the grown-up turbulence is expected to be strongly nonlinear,  $\mathcal{H}_l \sim |\mathcal{H}_{nl}|$ . In our experiments, we study how the wave statistics depends on the pumping coefficient  $p_0$ , initial and final amplitudes  $A_0$  and  $A_f$ , basin length  $L$  and shape of the Fourier spectrum of the initial noise.

#### 3.2. Measurement of statistical functions

After turning off the pumping, we start measurement of the basic statistical functions, averaging them over the ensemble of the grown-up wavefields. We examine the ensemble-averaged kinetic  $\langle \mathcal{H}_l(t) \rangle$  and potential  $\langle \mathcal{H}_{nl}(t) \rangle$  energies, the fourth-order moment of amplitude  $\kappa_4 = \langle |\psi|^4 \rangle / \langle |\psi|^2 \rangle^2$ , the probability density function (PDF)  $\mathcal{P}(I, t)$  of relative wave intensity  $I = |\psi|^2 / \langle |\psi|^2 \rangle$  where  $\langle |\psi|^2 \rangle$  is the average intensity, the wave-action spectrum,

$$S_k(t) = \langle |\psi_k|^2 \rangle / \Delta k, \quad (20)$$

where  $\Delta k = 2\pi/L$  is distance between neighbor wavenumbers, and the autocorrelation of the intensity,

$$g_2(x, t) = \frac{\overline{|\psi(y+x, t)|^2 \cdot |\psi(y, t)|^2}}{\langle |\psi(y, t)|^2 \rangle^2}. \quad (21)$$

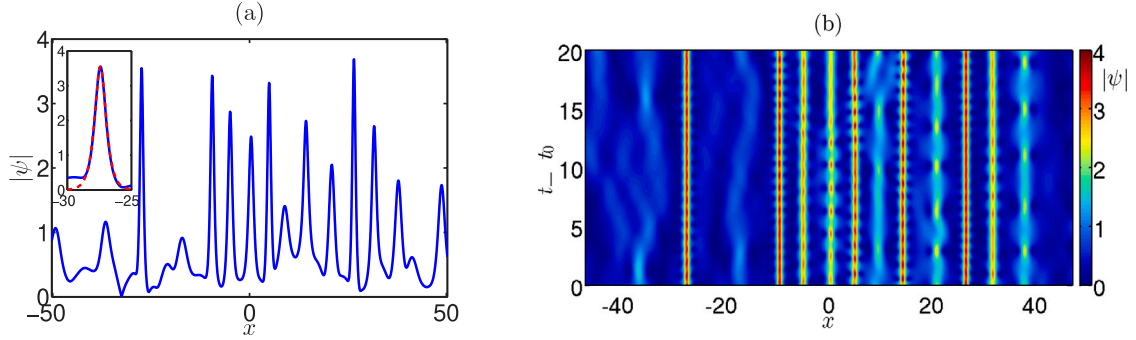
In the latter relation, the overline denotes spatial averaging over the  $y$  coordinate. Note that, at  $x = 0$ , the autocorrelation equals the fourth-order moment,  $g_2(0, t) = \kappa_4(t)$ , and at  $x \rightarrow \infty$  it must approach unity,  $g_2(x, t) \rightarrow 1$ . For the wave-action spectrum and the PDF, we use normalization conditions  $\int S_k dk = \mathcal{N}$  and  $\int \mathcal{P}(I) dI = 1$ , respectively.

### 4. Grown-up turbulence

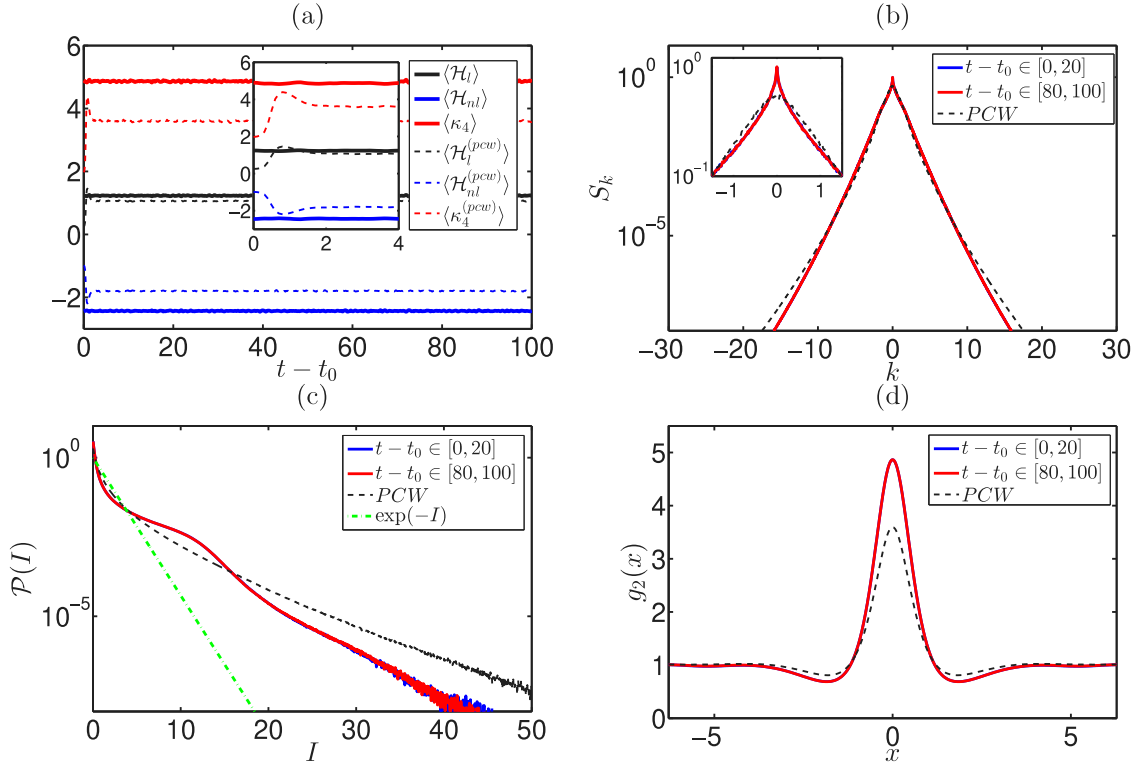
#### 4.1. Universal adiabatic regime

We start this Section with a discussion of our results for the base numerical experiment with parameters  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$ .





**Fig. 1.** (Color on-line) (a) Amplitude  $|\psi|$  for a single realization of wavefield at the end of the growth stage  $t = t_0$  and (b) its subsequent space-time evolution after turning off the pumping,  $t \geq t_0$ , for the base numerical experiment with parameters  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$ ; the universal adiabatic regime. The inset in panel (a) shows fit for one of the pulses with the one-soliton solution (22). Note that both panels demonstrate only the central quarter of the basin length.



**Fig. 2.** (Color on-line) (a) Evolution of the ensemble-averaged kinetic energy  $\langle \mathcal{H}_l \rangle$ , potential energy  $\langle \mathcal{H}_{nl} \rangle$  and fourth-order moment of amplitude  $\kappa_4$  after turning off the pumping,  $t \geq t_0$ . (b-d) Statistical functions averaged over the ensemble and different time intervals  $t - t_0 \in [0, 20]$  and  $t - t_0 \in [80, 100]$ : (b) the wave-action spectrum  $S_k$ , (c) the PDF  $P(I)$  of relative wave intensity  $I = |\psi|^2 / \langle |\psi|^2 \rangle$  and (d) the autocorrelation of intensity  $g_2(x)$ . The results relate to the base numerical experiment representing the universal adiabatic regime:  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$ . The dashed lines in panel (a) and black dashed lines in panels (b-d) demonstrate the corresponding statistical functions for the experiment with the partially coherent wave (PCW) initial conditions  $A_0 = A_f = 1$  and other parameters the same as for the base experiment; in panels (b-d), these statistical functions are averaged over both the ensemble of initial conditions and time interval  $t - t_0 \in [80, 100]$ . The inset in panel (a) shows zoom at smaller times, in panel (b) — the wave-action spectrum at smaller wavenumbers, and the green dash-dot line in panel (c) indicates the exponential PDF (23).

Fig. 1 illustrates a typical realization of wavefield at the end of the growth stage  $t = t_0$ , as well as its subsequent space-time evolution after turning off the pumping,  $t \geq t_0$ . Note that the two panels of the figure show only the central quarter of the basin length. The wavefield in Fig. 1(a) contains several peaks of amplitude  $|\psi| \approx 3.5$  having characteristic width  $\delta x \approx 1$ . The spatio-temporal dynamics demonstrated in Fig. 1(b) reveals that these peaks represent persistent structures which do not change their positions, suggesting that they are in fact solitons having zero velocities. The one-soliton solution of the 1D-NLSE (1) can be written as,

$$\psi_s(x, t) = \chi \frac{\exp[iV(x - x') + i\theta']}{\cosh \chi(x - x')}, \quad (22)$$

$$x' = x'_0 + Vt, \quad \theta' = \theta'_0 + \frac{1}{2}(\chi^2 + V^2)t,$$

where  $\chi > 0$ ,  $V$ ,  $x'$  and  $\theta'$  are real-valued soliton amplitude, velocity and current position and phase, while the constants  $x'_0$  and  $\theta'_0$  stand for the position and phase at  $t = 0$ . The function (22) indeed fits the observed peaks very well, as demonstrated in the inset of Fig. 1(a) for one of the pulses. The figure contains 8 pulses satisfying the rogue wave criterion  $I = |\psi|^2 / \langle |\psi|^2 \rangle > 8$ , see e.g. [17–20], where  $\langle |\psi|^2 \rangle = A_f^2 = 1$ . This means that rogue waves emerge very frequently in the grown-up turbulence, and many of these waves, apparently, are standing solitons.

As shown with the solid lines in Fig. 2(a), after turning off the pumping  $t \geq t_0$ , the ensemble-averaged kinetic energy  $\langle \mathcal{H}_l \rangle$ , potential energy  $\langle \mathcal{H}_{nl} \rangle$  and fourth-order moment of amplitude  $\kappa_4$  do not change with time. The other statistical functions do not change with time either, meaning that in addition to averaging over ensemble of initial

noise we can use time-averaging as well, in order to improve accuracy of the measurement. Averaging over time intervals  $t - t_0 \in [0, 20]$  and  $t - t_0 \in [80, 100]$  does not lead to a difference in the results for the wave-action spectrum, the PDF of relative wave intensity and the autocorrelation of intensity, see the solid lines in Fig. 2(b–d). Turning off the pumping at intermediate states — before the average intensity  $\overline{|\psi|^2}$  reaches  $A_f^2 = 1$ , we observe the same effect, i.e., that the statistical functions do not show dependency on time. Hence, we conclude that, after turning off the pumping at intermediate or final states, the resulting integrable turbulence turns out to be stationary for the given set of experimental parameters.

The statistically stationary state at the end of the base experiment is characterized by the kinetic energy  $\langle H_t \rangle = 1.23$ , potential energy  $\langle H_{nl} \rangle = -2.43$  and the fourth-order moment  $\kappa_4 = 4.9$ ; here and below all the statistical functions are averaged over both the ensemble of initial conditions and time interval  $t - t_0 \in [0, 20]$ . Hence, this state is strongly nonlinear: the potential energy related to the nonlinear term of the 1D-NLSE is almost twice larger than the kinetic one related to (linear) dispersion, and the fourth-order moment exceeds significantly the value of  $\kappa_4 = 2$  characterizing a random superposition of linear waves, see e.g. [47, chapter 5]. The wave-action spectrum of this stationary state represents a continuous function, which decays slightly slower than exponentially at large wavenumbers  $|k| \gg 1$  and has a sharp triangular shape at small and moderate wavenumbers  $|k| \lesssim 1$ , see Fig. 2(b). Compared to the exponential distribution,

$$P_R(I) = e^{-I}, \quad (23)$$

the PDF turns out to be smaller at moderate intensities  $I \lesssim 4$  and larger by orders of magnitude at large intensities  $I \gtrsim 10$ , see Fig. 2(c). Note that the exponential PDF (23) describes the intensity distribution for a random superposition of linear waves, see e.g. [47, chapter 5] and [48, chapter 3], and therefore can be used as a benchmark. At  $4 \lesssim I \lesssim 18$ , the PDF has a pronounced “hump” corresponding to amplitudes  $2 \lesssim |\psi| \lesssim 4$ ; compare with Fig. 1, where many standing pulses have amplitudes from this region. The probability to meet intensity above the rogue wave threshold  $I > 8$  can be calculated as the corresponding integral of the PDF,

$$P_{RW} = \int_8^{+\infty} P(I) dI. \quad (24)$$

For the grown-up turbulence, the result  $P_{RW} = 2.2 \times 10^{-2}$  is almost two orders of magnitude larger than for a random superposition of linear waves  $P_{RW}^{(R)} = 3.4 \times 10^{-4}$ . The autocorrelation of intensity has a bell shape at moderate distances  $|x| \lesssim 1.5$ , which is characterized by the full width at half maximum  $\Delta_{FWHM} = 1.1$  and the maximum  $g_2(0) = \kappa_4 = 4.9$ , and at larger distances it practically reaches unity,  $g_2(x) \approx 1$  at  $|x| \gtrsim 4$ .

It is instructive to compare the grown-up turbulence with the turbulence developing from the so-called partially coherent wave (PCW) initial conditions, when, in terms of Eqs. (2)–(3), the initial wavefield  $\psi|_{t=0} = A_0 f(x)$  has unit average intensity,  $A_0 = A_f = 1$ , and the growth stage is absent. For comparison, we take the other parameters the same as for the base experiment: the initial wavefield is described by the function  $f(x)$  having Gaussian Fourier spectrum  $s = 2$ , see Eq. (19), and the basin length equals  $L = 128\pi$ . As shown with the dashed lines in Fig. 2(a), after beginning of evolution, the ensemble-averaged kinetic and potential energies and the fourth-order moment change pronouncedly with time, see e.g. [8,12,49,50] for detail, as the initial PCW does not represent a stationary state. After  $t \gtrsim 4$ , the three functions freeze at  $\langle H_t \rangle = 1.06$ ,  $\langle H_{nl} \rangle = -1.8$  and  $\kappa_4 = 3.6$ ; hence, the statistically stationary state developed from the PCW is characterized with a smaller potential-to-kinetic energy ratio  $|\langle H_{nl} \rangle|/\langle H_t \rangle = 1.7$  and a smaller fourth-order moment  $\kappa_4 = 3.6$  compared to 1.98 and 4.9 respectively for the grown-up turbulence.

For the PCW experiment, the stationary wave-action spectrum, PDF and autocorrelation of intensity are shown in Fig. 2(b–d) with the

black dashed lines; these functions are averaged over both the ensemble of initial conditions and time interval  $t \in [80, 100]$ . The wave-action spectrum has a smooth bell shape at small wavenumbers  $|k| \lesssim 1$  compared to a sharp triangular one for the grown-up turbulence; at large wavenumbers  $|k| \gtrsim 10$ , the spectrum for the PCW experiment has slightly higher tails. The PDF for the PCW case is smaller than for the grown-up turbulence at  $4 \lesssim I \lesssim 16$  and larger otherwise. The probability to meet intensity above the rogue wave threshold (24) for the PCW case equals  $P_{RW} = 10^{-2}$ , that is twice smaller than for the grown-up turbulence; at the same time, extremely large waves  $I \gtrsim 20$  are much more frequent for the PCW case. The autocorrelation of intensity for the PCW case looks similar to that for the grown-up turbulence, but has a smaller main peak  $g_2(0) = \kappa_4 = 3.6$  with the smaller full width at half maximum  $\Delta_{FWHM} = 1$ ; at  $|x| \gtrsim 4$ , it also practically reaches unity.

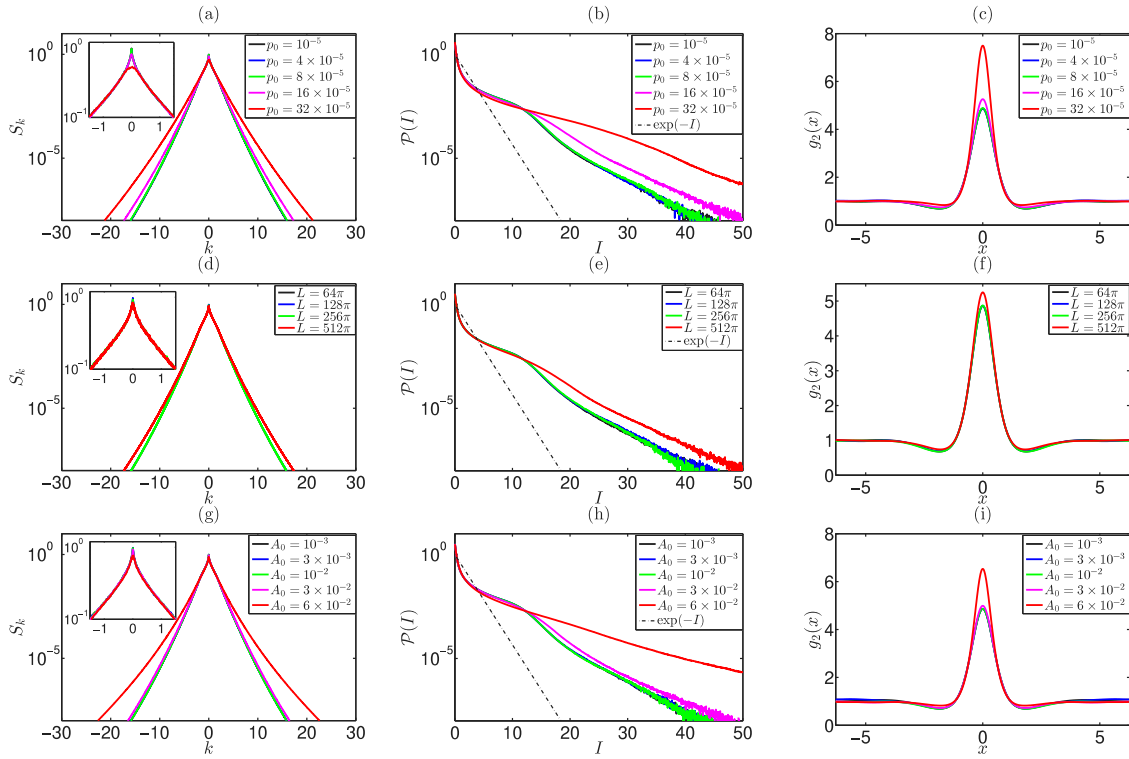
Repeating the experiment with the growing of turbulence for different sets of simulation parameters, we come to the same statistically stationary state as shown in Fig. 2, provided that the conditions (5), (7) for adiabatic growth of turbulence are satisfied. In particular, Fig. 3(a–c) shows results for the experiments with different pumping coefficients from  $p_0 = 10^{-5}$  to  $32 \times 10^{-5}$  and other parameters the same as for the base experiment. As demonstrated in the figure, the wave-action spectrum, PDF and autocorrelation of intensity are the same for all experiments with  $p_0 \leq 8 \times 10^{-5}$ . Note that we have done experiments with  $p_0 < 10^{-5}$  not shown in Fig. 3(a–c) and came to the same results. Also note that the ensemble-averaged kinetic energy, potential energy and fourth-order moment are uniquely defined by the wave-action spectrum, PDF and autocorrelation of intensity respectively; see Eqs. (13), (14), (20) and (21).

Experiments with different basin lengths from  $L = 64\pi$  to  $512\pi$  and other parameters the same as for the base experiment demonstrate coinciding results for  $L \leq 256\pi$ , see Fig. 3(d–f). For these experiments, we have chosen the ensemble size inverse-proportional to the basin length  $L$  in order to make the “total length” of all realizations the same: 400 for  $L = 64\pi$ , 200 for  $L = 128\pi$ , 100 for  $L = 256\pi$  and 50 for  $L = 512\pi$ .

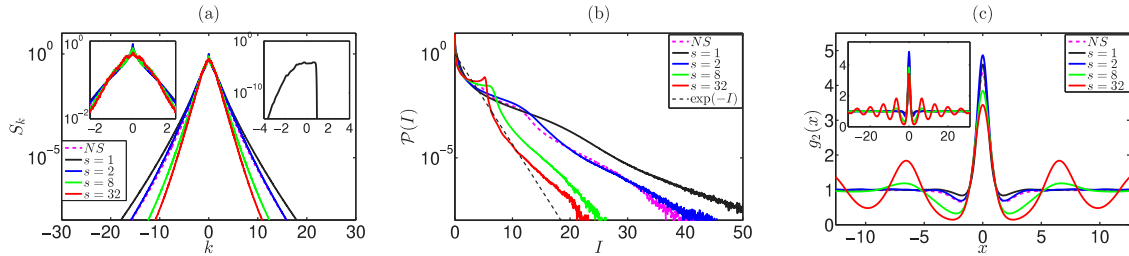
Note that, for the case  $p_0 = 8 \times 10^{-5}$  in Fig. 3(a–c), which leads to the same statistical state as the base experiment, the criterion (7) for adiabatic growth of turbulence is satisfied poorly, as  $p_0/\Delta k^2 \approx 0.33$ . The same applies to the case  $L = 256\pi$  in Fig. 3(d–f), as  $p_0/\Delta k^2 \approx 0.16$ . Increasing the pumping coefficient to  $p_0 = 16 \times 10^{-5}$  in Fig. 3(a–c) or the basing length to  $L = 512\pi$  in Fig. 3(d–f), we amplify the ratio to  $p_0/\Delta k^2 \approx 0.66$ , so that the criterion (7) becomes violated. Experiments with the corresponding parameters show coinciding statistical results – compare the magenta lines in Fig. 3(a–c) and red lines in Fig. 3(d–f) – which differ from those of the base experiment.

For the experiments with different initial noise amplitudes from  $A_0 = 10^{-3}$  to  $6 \times 10^{-2}$  and other parameters the same as for the base experiment, the results coincide for  $A_0 \leq 10^{-2}$ , see Fig. 3(g–i). For  $A_0 \geq 3 \times 10^{-2}$ , the wave statistics becomes dependent on  $A_0$ . By checking the intermediate and final states of the latter experiments in the same way as shown in Fig. 2, we observe that they are stationary, so that the adiabatic growth regime is realized. As an additional test, we have performed several more experiments for the same noise amplitudes and increased pumping coefficient (i.e., increased speed of the turbulence growth), and came to the same statistical results for  $p_0 \leq 8 \times 10^{-5}$ ; see Section 2.2 for the discussion of such an adiabaticity test. Hence, the experiments in Fig. 3(g–i) with  $A_0 \geq 3 \times 10^{-2}$  represent the “non-universal” adiabatic regime depending on the initial noise amplitude  $A_0$ . Apparently, this means that for  $A_0 \geq 3 \times 10^{-2}$  the composition of noise changes. As we discuss below in Section 5, this is indeed the case, since the initial noise contains solitons for  $A_0 \gtrsim 3 \times 10^{-2}$  and does not contain them for  $A_0 \lesssim 10^{-2}$ .

Thus, for the two sets of experiments with (i) different pumping coefficients, Fig. 3(a–c), and (ii) different basin lengths, Fig. 3(d–f), the non-adiabatic regime is realized for the parameters  $p_0 \gtrsim 16 \times 10^{-5}$  and



**Fig. 3.** (Color on-line) Statistical functions averaged over the ensemble and time interval  $t - t_0 \in [0, 20]$  for different sets of numerical experiments: (a,d,g) the wave-action spectrum  $S_k$ , (b,e,h) the PDF  $P(I)$  of relative wave intensity  $I = |\psi|^2 / \langle |\psi|^2 \rangle$  and (c,f,i) the autocorrelation of intensity  $g_2(x)$ . For all experiments, the initial spectrum is Gaussian,  $s = 2$ , and the final mean amplitude equals unity,  $A_f = 1$ . Panels (a-c) illustrate experiments with different pumping coefficients  $p_0$  and fixed  $A_0 = 10^{-2}$  and  $L = 128\pi$ . Panels (d-f) show experiments with different basin lengths  $L$  and fixed  $p_0 = 10^{-5}$  and  $A_0 = 10^{-2}$ . Panels (g-i) demonstrate experiments with different initial noise amplitudes  $A_0$  and fixed  $p_0 = 10^{-5}$  and  $L = 128\pi$ . The experiments with  $p_0 \leq 8 \times 10^{-5}$  in panels (a-c),  $L \leq 256\pi$  in panels (d-f) and  $A_0 \leq 10^{-2}$  in panels (g-i) represent the universal adiabatic regime, with  $p_0 \geq 16 \times 10^{-5}$  in panels (a-c) and  $L = 512\pi$  in panels (d-f) – the non-adiabatic regime, with  $A_0 \geq 3 \times 10^{-2}$  in panels (g-i) – the non-universal adiabatic regime. The insets in panels (a,d,g) show wave-action spectrum at smaller wavenumbers, the black dash-dot lines in panels (b,e,h) indicate the exponential PDF (23).



**Fig. 4.** (Color on-line) Statistical functions averaged over the ensemble and time interval  $t - t_0 \in [0, 20]$  for four super-Gaussian initial spectra with the exponents  $s = 1, 2, 8$  and 32, and one non-symmetric initial spectrum  $NS$ , see Section 3.1: (a) the wave-action spectrum  $S_k$ , (b) the PDF  $P(I)$  of relative wave intensity  $I = |\psi|^2 / \langle |\psi|^2 \rangle$  and (c) the autocorrelation of intensity  $g_2(x)$ . The other parameters match those of the base experiment and lead to the universal adiabatic regime:  $L = 128\pi$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$ . The insets in panel (a) show (left) the wave-action spectrum at smaller wavenumbers and (right) the non-symmetric initial spectrum  $NS$ ,  $F_k^2 / \Delta k$ , see Eqs. (19), (20). The inset in panel (c) shows autocorrelation of intensity at larger distances. The black dash-dot line in panel (b) indicates the exponential PDF (23).

$L \gtrsim 512\pi$ , respectively. For the third set of experiments with different initial noise amplitudes, Fig. 3(g-i), the turbulence grows adiabatically, but this growth becomes dependent on  $A_0$  for  $A_0 \gtrsim 3 \times 10^{-2}$ . The universal adiabatic regime is realized in Fig. 3(a-c) for  $p_0 \leq 8 \times 10^{-5}$ , in Fig. 3(d-f) for  $L \leq 256\pi$  and in Fig. 3(g-i) for  $A_0 \leq 10^{-2}$ , when the wave statistics does not depend on the pumping coefficient, basin length or noise amplitude. Note that, in Fig. 3, both the non-universal adiabatic and non-adiabatic regimes lead to the statistical states characterized by a substantially higher far tail of the PDF, reflecting a much more frequent appearance of very large waves compared to the universal adiabatic regime.

The experiments discussed so far have fixed parameters  $s = 2$  and  $A_f = 1$  describing the shape of the initial Fourier spectrum and the final mean amplitude respectively. We have done other sets of experiments with different  $s$  and  $A_f$  and come to the same conclusions on the regimes of the turbulence growth, as discussed above.

#### 4.2. Dependency on the noise spectrum

The linear pumping term in Eq. (6) pumps in the same spectrum, which is already present in the system. Then, the resulting statistical state should depend on the shape of the initial noise spectrum. To test this hypothesis, in addition to the experiment with the Gaussian  $s = 2$

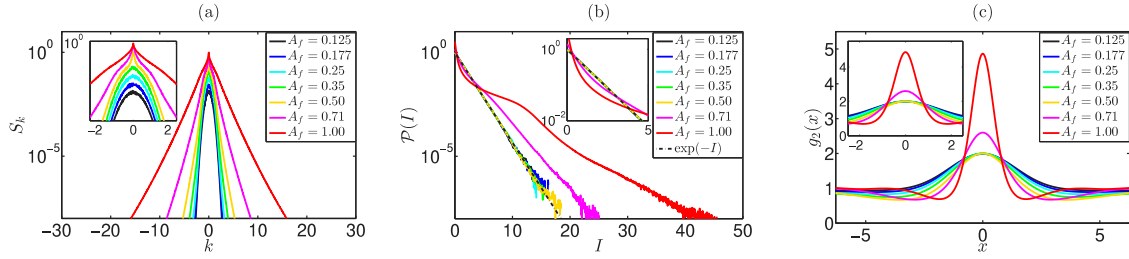


Fig. 5. (Color on-line) Statistical functions averaged over the ensemble and time interval  $t - t_0 \in [0, 20]$  at different mean amplitudes  $A_f = 0.125, 0.177, 0.25, 0.35, 0.5, 0.71$  and 1: (a) the wave-action spectrum  $S_k$ , (b) the PDF  $P(I)$  of relative wave intensity  $I = |\psi|^2 / \langle |\psi|^2 \rangle$  and (c) the autocorrelation of intensity  $g_2(x)$ . The results relate to the base numerical experiment representing the universal adiabatic regime:  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$  and  $p_0 = 10^{-5}$ . The insets in panels show the same functions at smaller scales. The black dash-dot line in panel (b) indicates the exponential PDF (23).

noise spectrum discussed above, we have done three more experiments with the exponential  $s = 1$  and super-Gaussian  $s = 8$  and  $s = 32$  spectra, and also one experiment with the non-symmetric spectrum  $NS$ , see Section 3.1. In all these experiments, the characteristic noise spectral width was the same,  $\delta k = 1$ , and the other parameters coincided with those of the base experiment:  $L = 128\pi$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$ .

The statistical functions shown in Fig. 4 demonstrate a clear dependency on the initial noise spectrum. For symmetric cases  $s = 1, 2, 8$  and  $32$ , with increasing exponent  $s$ , the wave-action spectrum at small and moderate wavenumbers  $|k| \lesssim 1$  changes its shape from a sharp triangle into a bell-like, while at large wavenumbers  $|k| \gg 1$  the decay of the spectrum with  $k$  becomes much faster, see Fig. 4(a). The similar effect is observed for the far tail of the PDF at  $I \gtrsim 20$ , where the tail is lower for larger  $s$ , see Fig. 4(b). At  $I \gtrsim 20$ , the tail of the PDF for  $s = 1$  is by several orders of magnitude higher than for  $s = 32$ , meaning that the emergence of very large waves  $I \gtrsim 20$  is by orders of magnitude more frequent for the  $s = 1$  case. Note that, for  $s = 32$ , the PDF is very similar to the PDF for the long-time statistically stationary state of the modulational instability of cnoidal waves [14]; we will discuss this similarity in more detail in Appendix C.

For the experiments  $s = 1, 2, 8$  and  $32$ , the fourth-order moment of amplitude, which determines the maximum of the autocorrelation of intensity  $g_2(0) = \kappa_4$ , takes values  $\kappa_4 = 4.6, 4.9, 3.8$  and  $3.4$  respectively, see Fig. 4(c). Meanwhile, the central peak of the autocorrelation function widens moderately with increasing  $s$ . For the cases  $s = 1$  and  $2$ , the central peak at  $|x| \lesssim 1$  is followed by a short trough at  $|x| \simeq 1.5$ , after which the function becomes practically indistinguishable from unity at  $|x| \gtrsim 4$ . For  $s = 32$ , the autocorrelation tends to unity in the form of damped oscillations with a period of about  $2\pi$ , which are visible even at large enough distances  $|x| \sim 10\pi$ . Thus, the case  $s = 32$  is distinguished by a significantly more distant correlation of intensity compared to  $s = 1$  and  $2$ . The experiment  $s = 8$  looks as a transitional one between  $s = 2$  and  $32$ .

Interesting results have been obtained for the experiment with the non-symmetric noise spectrum  $NS$ . In particular, the wave-action spectrum turns out to be practically symmetric, almost coinciding with that for the symmetric  $s = 2$  case, see Fig. 4(a). The “symmetrization” of spectrum can only occur due to strong nonlinearity, since the nonlinear term  $(|\psi|^2 \psi)_k$  in Eq. (6) is the only one capable to it, while at small average intensities  $|\psi|^2 \ll 1$  the spectrum remains non-symmetric. The PDF turns out to be very similar to the  $s = 2$  case either, Fig. 4(b), and the autocorrelation function almost coincides with that for  $s = 2$  except at very small distances  $|x| \ll 1$ , where the non-symmetric noise spectrum leads to smaller fourth-order moment  $\kappa_4 = 4.4$  versus  $4.9$  for  $s = 2$ , see Fig. 4(c). We remind that at  $k \rightarrow -\infty$  the decay of the non-symmetric spectrum is the same as for the  $s = 2$  case,  $\propto e^{-k^2}$ , while at  $k \rightarrow +\infty$  it is much faster,  $\propto e^{-k^{32}}$ .

#### 4.3. Intermediate stages of the pumping

Turning off the pumping at intermediate states, corresponding to the mean amplitude  $A_f = 0.125, 0.177, 0.25, 0.35, 0.5$  and  $0.71$ , we observe in Fig. 5 evolution of the wave statistics for the base experiment. At these  $A_f$ , the ratio of the potential energy to the kinetic one equals  $\langle |H_{nl}| \rangle / \langle H_l \rangle = 0.0625, 0.125, 0.25, 0.5, 1$  and  $1.74$ , respectively, reaching  $1.98$  for  $A_f = 1$ . Thus, in line with discussion in Section 2.3, at the early growth stage the potential-to-kinetic energy ratio increases proportionally to the average intensity,  $\langle |H_{nl}| \rangle / \langle H_l \rangle \propto A_f^2$ , and for  $A_f \geq 0.5$  the statistical state becomes essentially nonlinear,  $\langle |H_{nl}| \rangle / \langle H_l \rangle \geq 1$ . At late growth stages, the energy ratio saturates at  $\langle |H_{nl}| \rangle / \langle H_l \rangle \approx 2$ ; this value is known empirically as the maximum possible for the statistically stationary states of the 1D-NLSE, see e.g. [9,14,16], which has yet to be explained theoretically.

With increasing mean amplitude  $A_f$ , the wave-action spectrum at small and moderate wavenumbers  $|k| \lesssim 1$  changes its shape from a bell-like, corresponding to the initial Gaussian spectrum, to a sharp triangular one at  $A_f = 1$ , see Fig. 5(a). Simultaneously, the tails of the spectrum at  $|k| \gg 1$  grow noticeably. These two processes accelerate sharply in the region  $0.35 \lesssim A_f \lesssim 0.5$ , when the statistical state becomes essentially nonlinear.

Until  $A_f \lesssim 0.5$ , the PDF coincides with the exponential distribution (23), see Fig. 5(b), even despite the significant nonlinearity,  $\langle |H_{nl}| \rangle / \langle H_l \rangle = 1$ , at  $A_f = 0.5$ . With a further increase in the mean amplitude, the PDF decreases at moderate intensities  $I \simeq 2$  and increases greatly at large intensities  $I \gtrsim 10$ .

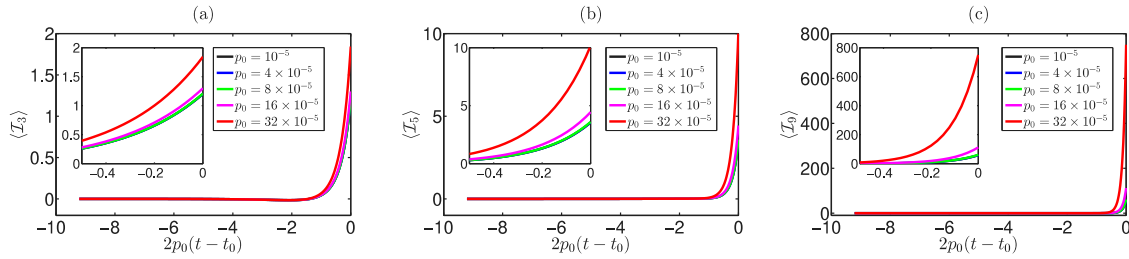
With increasing  $A_f$ , the autocorrelation of intensity does not change until  $A_f \simeq 0.1$ ; as discussed in Section 5, we detect solitons in the wavefield for the first time starting from approximately this mean amplitude. At larger mean amplitudes, the autocorrelation function gradually shrinks, see Fig. 5(c), while its maximum stays at  $g_2(0) = \kappa_4 = 2$  until  $A_f \leq 0.5$  and only then starts to grow, reaching  $\kappa_4 = 4.9$  at  $A_f = 1$ .

#### 4.4. Invariants of the 1D-NLSE during the growth stage

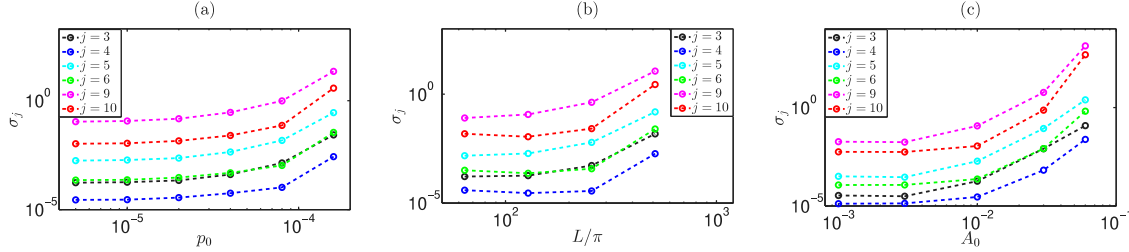
As we have noted in Section 2.3, the invariants of the 1D-NLSE (8)–(9) evolve with time during the growth stage; in particular, the wave action and momentum grow exponentially,  $\mathcal{N} = \mathcal{N}_0 e^{2p_0 t}$  and  $\mathcal{M} = \mathcal{M}_0 e^{2p_0 t}$ . For the initial noise (19), we have  $\mathcal{N}_0 = A_0^2$  and  $\mathcal{M}_0 = 0$ , with the latter relation calculated easily in the Fourier space, see Eq. (11). Thus, at the end of the growth stage  $t_0 = \frac{1}{p_0} \ln \frac{A_f}{A_0}$ , all realizations of the wavefield have the same wave action  $\mathcal{N} = A_f^2$  and zero momentum  $\mathcal{M} = 0$ . Evolution of the next-order invariants is nontrivial, see e.g. Eq. (18) for the total energy, so that different realizations may have different values of these invariants.

However, surprisingly, our experiments indicate very close values of the next-order invariants for the universal adiabatic regime of the turbulence growth. For instance, at the end of the growth stage of the base experiment, the third-, fifth- and ninth-order invariants averaged over the ensemble of 200 realizations equal  $\langle I_3 \rangle = (1.2022 \pm 0.0002)$ ,





**Fig. 6.** (Color on-line) Evolution of the ensemble-averaged invariants (8)–(9) of the 1D-NLS during the growth stage versus the rescaled time  $2p_0(t-t_0)$  for the experiments with different pumping coefficients: (a)  $\langle I_3 \rangle$ , (b)  $\langle I_5 \rangle$  and (c)  $\langle I_9 \rangle$ . The other parameters match those of the base experiment:  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$  and  $A_f = 1$ ; the final states are shown in Fig. 3(a–c). The experiments with  $p_0 \leq 8 \times 10^{-5}$  represent the universal adiabatic regime, with  $p_0 \geq 16 \times 10^{-5}$  — the non-adiabatic regime. Note that the third-order invariant equals minus total energy (12),  $I_3 = -\mathcal{E}$ . The insets in panels show the same functions near the end of the growth stage.



**Fig. 7.** (Color on-line) Standard deviations  $\sigma_j$  of the ensemble-averaged 1D-NLS invariants (8)–(9)  $\langle I_j \rangle$  of orders  $j = 3, 4, 5, 6, 9$  and  $10$ , at the end of the growth stage  $A_f = 1$  for three sets of numerical experiments shown in Fig. 3; the initial noise has Gaussian Fourier spectrum  $s = 2$ . Panel (a) illustrates experiments with different pumping coefficients  $p_0$  and fixed  $L = 128\pi$  and  $A_0 = 10^{-2}$ , see Fig. 3(a–c). Panel (b) shows experiments with different basin lengths  $L$  and fixed  $A_0 = 10^{-2}$  and  $p_0 = 10^{-5}$ , see Fig. 3(d–f). Panel (c) demonstrates experiments with different initial noise amplitudes  $A_0$  and fixed  $L = 128\pi$  and  $p_0 = 10^{-5}$ , see Fig. 3(g–i). The experiments with  $p_0 \leq 8 \times 10^{-5}$  in panel (a),  $L \leq 256\pi$  in panel (b) and  $A_0 \leq 10^{-2}$  in panel (c) represent the universal adiabatic regime, with  $p_0 \geq 16 \times 10^{-5}$  in panel (a) and  $L = 512\pi$  in panel (b) — the non-adiabatic regime, with  $A_0 \geq 3 \times 10^{-2}$  in panel (c) — the non-universal adiabatic regime.

$\langle I_5 \rangle = (3.541 \pm 0.002)$  and  $\langle I_9 \rangle = (58.1 \pm 0.1)$ . Note that the third-order invariant equals minus total energy,  $I_3 = -\mathcal{E}$ . The standard deviations for the invariants of even orders are similar, but the invariants themselves practically equal zero; for instance,  $\langle I_4 \rangle = i(0.1 \pm 2.9) \times 10^{-5}$ ,  $\langle I_6 \rangle = i(0.0 \pm 2.3) \times 10^{-4}$  and  $\langle I_{10} \rangle = i(0.0 \pm 1.1) \times 10^{-2}$ . We have checked that at intermediate states of the pumping the different realizations of the grown-up wavefield also have very close values of the higher-order invariants and the invariants of even orders practically equal zero as well.

Evolution of the ensemble-averaged third-, fifth- and ninth-order invariants  $\langle I_3 \rangle$ ,  $\langle I_5 \rangle$  and  $\langle I_9 \rangle$  during the growth stage is shown in Fig. 6 for the same set of experiments with different pumping coefficients  $p_0$ , which has been illustrated in Fig. 3(a–c). As follows from Fig. 6, for sufficiently small pumping coefficient  $p_0 \leq 8 \times 10^{-5}$ , the invariants evolve self-similarly versus the rescaled time  $2p_0(t-t_0)$ ; the time rescaling is applied according to Eq. (15). For larger pumping coefficient, evolution of the invariants becomes non-self-similar and their values become dependent on  $p_0$ . This result corroborates Fig. 3(a–c), where the statistical functions also become dependent on the pumping coefficient for  $p_0 \gtrsim 16 \times 10^{-5}$ .

We have checked that the same self-similarity of the invariants versus time is valid for the other two sets of experiments with different basin lengths  $L$  and different noise amplitudes  $A_0$ , shown in Fig. 3(d–f) and (g–i) respectively, if only the turbulence grows in the adiabatic regime, regardless of whether this regime is universal (i.e., independent of  $A_0$ ), or not. For the non-adiabatic regime, the self-similarity is absent.

The standard deviations of the invariants increase with increasing pumping coefficient, see Fig. 7(a), or basin length, Fig. 7(b), or initial noise amplitude, Fig. 7(c). For the non-adiabatic or non-universal adiabatic regimes, realized in Fig. 7 at  $p_0 \geq 16 \times 10^{-5}$ ,  $L \geq 512\pi$ , or  $A_0 \geq 3 \times 10^{-2}$ , the standard deviations become fairly noticeable, especially for the higher-order invariants. Thus, another distinction of the universal adiabatic regime versus the non-universal adiabatic and non-adiabatic ones is the very small differences in the invariant values between different realizations of the grown-up wavefield.

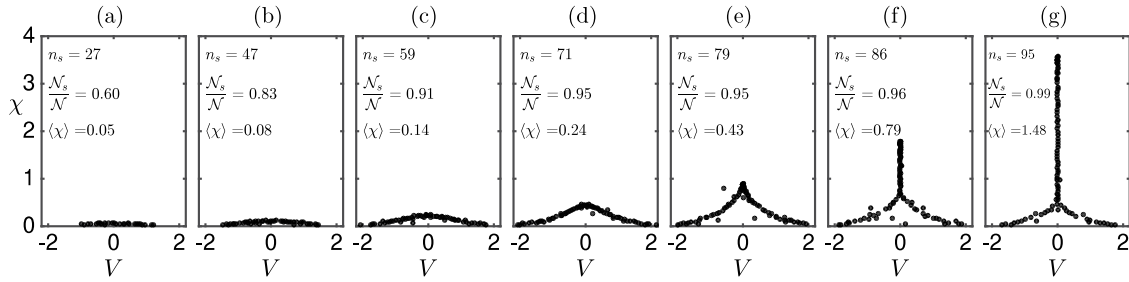
## 5. IST analysis

### 5.1. Methods

Following the modern theoretical-numerical approach to the studies of nonlinear waves [51–55], we find the scattering data of the grown-up wavefields by solving the direct scattering problem for the auxiliary Zakharov–Shabat (ZS) system [21], in which these wavefields play the role of the potential. As we demonstrate below, already at  $A_f = 0.25$ , when the statistics of waves does not differ much from that of a random superposition of linear waves, the fraction of nonlinear dispersive waves (the continuous spectrum) in the total wave action  $\mathcal{N}$  turns out to be small, meaning that the corresponding wavefields represent almost pure solitonic states. For this reason, we focus on the solitonic part of the IST spectrum and do not consider the continuous part.

Using the efficient numerical tools developed by us recently in [56–58], we accurately identify the complete set of soliton parameters – their amplitudes  $\chi$ , velocities  $V$ , positions  $x'$  and phases  $\theta'$  – that represents a challenging numerical problem. In particular, to cope with computational instabilities when computing soliton positions and phases, we use a fine numerical grid and perform arithmetic operations with high precision. The corresponding theoretical formalism and numerical methods are outlined in Appendix A and B, where the IST method is discussed for localized wavefields.

Note that we grow turbulence under periodic boundary conditions, so that one formally needs another IST technique for finite-band scattering data [22,59,60]. However, the characteristic width of coherent structures in our wavefields turns out to be small compared to the basin length and we neglect the periodicity effects. A similar idea was suggested in [61], where a soliton gas was considered as a limit of finite-band solutions; later, we have used this approach in [62] for analysis of rogue waves in a bound-state soliton gas. Below we demonstrate that the grown-up wavefields are very well approximated by exact multi-soliton solutions reconstructed from the solitonic part



**Fig. 8.** Soliton amplitudes and velocities for simulation from a single realization of initial conditions at different stages of the pumping: (a)  $A_f = 0.125$ , (b)  $A_f = 0.177$ , (c)  $A_f = 0.25$ , (d)  $A_f = 0.35$ , (e)  $A_f = 0.5$ , (f)  $A_f = 0.71$  and (g)  $A_f = 1$ . The results relate to the base numerical experiment representing the universal adiabatic regime:  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$  and  $p_0 = 10^{-5}$ ; panel (g) refers to the wavefield from Fig. 1(a). Each dot represents a soliton within the studied wavefield,  $n_s$  shows the total number of solitons detected,  $\mathcal{N}_s/\mathcal{N}$  demonstrates the ratio between the wave action of the solitonic part  $\mathcal{N}_s$ , see Eq. (26), and the total wave action  $\mathcal{N}$ , and  $\langle\chi\rangle$  indicates the mean soliton amplitude.

of the scattering data, meaning that the impact of periodicity effects on our analysis is indeed small.

Before discussion of the results, we confirm that the initial noise does not contain solitons and consists entirely of nonlinear dispersive waves, provided that its amplitude is sufficiently small. In particular, for the basin length  $L = 128\pi$  and noise amplitude  $A_0 = 10^{-2}$ , we do not detect solitons, as expected for low-amplitude oscillating wavefield [63,64]. For  $A_0 = 3 \times 10^{-2}$ , some realizations of noise contain one or two solitons immersed in the dispersive background. These solitons have small characteristic amplitude  $\chi \simeq 0.015 \sim A_0$ , so that their width  $\chi^{-1} \simeq 70$  approaches the whole basin length  $L = 128\pi$  in order of magnitude. For larger noise amplitude, e.g.  $A_0 = 10^{-1}$ , we observe that all noise realizations contain several solitons. Thus, we can suppose that solitons are present in the initial noise if  $1/A_0 \ll L$  and absent if

$$A_0 L \lesssim 1. \quad (25)$$

Assuming that solitons and nonlinear dispersive waves may react differently to the pumping, see e.g. [44,65,66], we think that the presence of solitons may explain the observed in Section 4.1 dependency of the statistical results on the noise amplitude for  $A_0 \geq 3 \times 10^{-2}$ . Then, for the universal adiabatic growth, the conditions (5), (7) should be supplemented with the condition (25) of the absence of solitons in the initial noise.

## 5.2. Universal adiabatic regime

We now discuss the IST analysis of the base numerical experiment with parameters  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$  and  $p_0 = 10^{-5}$ , which demonstrates the universal adiabatic regime of the turbulence growth.

Fig. 8 shows amplitudes and velocities of solitons found in the scattering data for simulation from a single realization of initial noise at different stages of the pumping from  $A_f = 0.125$  to 1; each dot in the figure represents a soliton. Note that at  $A_f = 0.06$  we do not detect solitons for all realizations of the grown-up wavefield (i.e., this state already differs from the initial noise of the same amplitude  $A_0 = 0.06$ , which typically contains a few solitons), while at  $A_f = 0.09$  we find only 3–4 solitons per a realization.

For the first stage  $A_f = 0.125$  shown in Fig. 8(a), in total  $n_s = 27$  solitons are detected; these solitons have mean amplitude  $\langle\chi\rangle = 0.07$  and a broad distribution of velocities within the interval  $|V| \leq 1$ . Their collective impact on the wavefield can be estimated through the wave action of the solitonic part, which can be found analytically by known soliton amplitudes,

$$\mathcal{N}_s = \frac{2}{L} \sum_{n=1}^{n_s} \chi_n, \quad (26)$$

see [21] and Appendix A. The ratio to the total wave action yields  $\mathcal{N}_s/\mathcal{N} = 0.6$ , meaning that this wavefield is already essentially influenced by solitons. Note that, for the corresponding statistical state, the

ratio of the potential energy to the kinetic one is small,  $\langle|H_{nl}|\rangle/\langle H_l \rangle = 0.0625$ , see Section 4.3, so that the nonlinearity is weak; also, the PDF of relative wave intensity is exponential, see Fig. 5(b). This situation is possible since solitons have relatively large velocities, so that their kinetic energy is much larger than the potential one; see [35], where the same effect has been observed for a dense soliton gas.

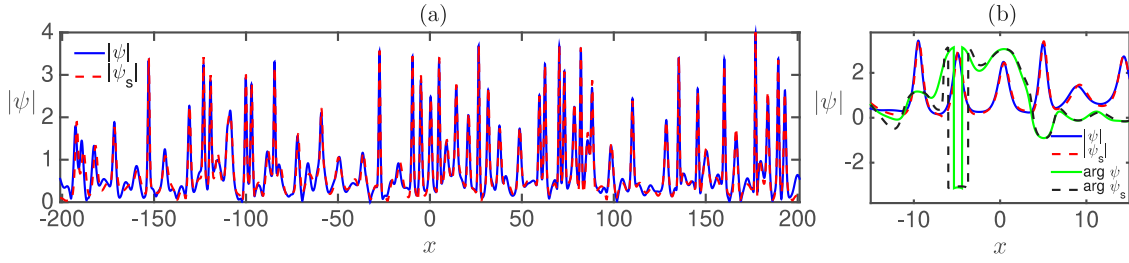
With increasing mean amplitude  $A_f$ , the number of solitons together with their mean amplitude and the fraction in the total wave action increase, see Fig. 8, reaching  $n_s = 95$ ,  $\langle\chi\rangle = 1.5$  and  $\mathcal{N}_s/\mathcal{N} = 0.99$  at  $A_f = 1$ . Solitons dominate the wavefield,  $\mathcal{N}_s/\mathcal{N} \geq 0.9$ , already starting from  $A_f = 0.25$ , see Fig. 8(c); in the corresponding statistical state, the potential-to-kinetic energy ratio is still relatively small,  $\langle|H_{nl}|\rangle/\langle H_l \rangle = 0.25$ , and the PDF is still exponential, see Section 4.3.

During the growth stage, small solitons continuously emerge with nonzero velocities. Between  $A_f = 0.125$  and 0.35, the interval of soliton velocities expands, as if a wedge-shaped formation of solitons emerges from the lower half-plane of the spectral parameter; see the corresponding discussion in [67]. Having emerged, solitons gain amplitude and loose velocity; at  $A_f \gtrsim 0.5$ , a bound state of the largest solitons with practically zero velocities is starting to form. At the end of the growth stage in Fig. 8(g), 64 solitons (67%) containing 95% of wave action  $\mathcal{N}$  belong to this bound state. Hence, during the growth, the wavefield approaches a *bound-state* soliton gas.

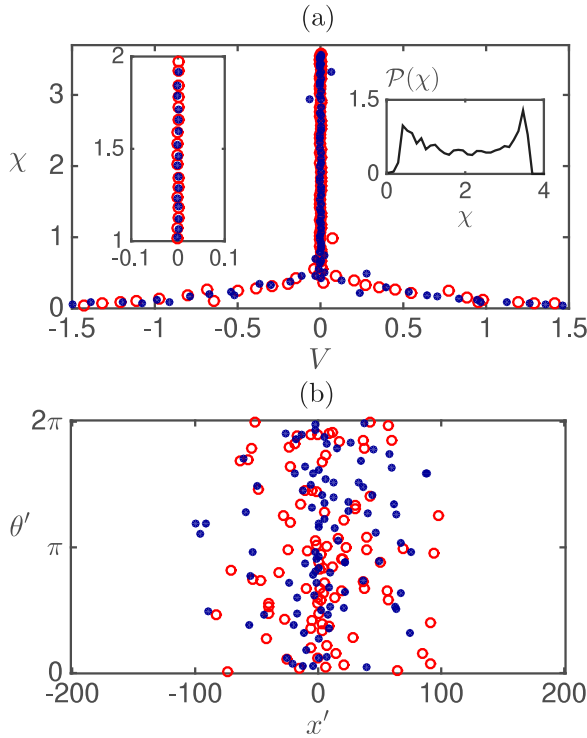
Using the complete set of soliton parameters determined at the end of the growth stage  $A_f = 1$ , see Fig. 8(g), we compute the wavefield for a pure multi-soliton solution  $\psi_s$  constructed from these solitons with the methods discussed in Appendix A, see also [35,36], and observe that it approximates the original grown-up wavefield remarkably well. As shown in Fig. 9, the approximation is especially good in amplitude  $|\psi|$  at its peaks and lacks accuracy near the troughs and in the complex phase  $\arg \psi$ . Similar results are obtained for all realizations of the grown-up wavefield. Note that, at the edges of the simulation box, we cannot approximate the wavefield with a multi-soliton solution properly, since the former is periodic and the latter is localized; see the corresponding discussion in Appendix A.

Soliton amplitudes and velocities turn out to be strongly correlated between different realizations of the grown-up wavefield — see Fig. 10(a), where two different realizations are shown at the end of the growth stage of the base experiment. We observe the same correlation at intermediate stages of the pumping as well, so that the presented in Fig. 8 soliton distributions for a single realization of initial noise fully illustrate the general picture. This observation means, in essence, that despite the different random sets of Fourier phases  $\phi_k$  which determine the specific realization of the initial noise (19), the adiabatic regime of the turbulence growth always leads to the same collection of solitons as the average intensity increases.

For a pure solitonic state, soliton amplitudes and velocities determine all the invariants (8)–(9) of the 1D-NLSE, see Appendix A. Since at the end of the growth stage  $A_f = 1$  the wavefield is almost purely solitonic, the observed in Fig. 10(a) correlation explains why different



**Fig. 9.** (Color on-line) Typical grown-up wavefield and its approximation with the multi-soliton solution  $\psi_s$  generated from the discrete part of the scattering data, for the base numerical experiment representing the universal adiabatic regime:  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$ . Panel (a) shows absolute value  $|\psi|$  of the grown-up wavefield (solid blue) and multi-soliton solution (dashed red), while panel (b) represents zoom of panel (a) at the central region. Also, panel (b) illustrates complex phase  $\arg \psi$  of the grown-up wavefield (solid green) and multi-soliton solution (dashed black). Note that panel (a) shows the same grown-up wavefield as in Fig. 1(a), but over the entire basin length.



**Fig. 10.** (Color on-line) Solitons at the end of the base experiment ( $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$ ,  $p_0 = 10^{-5}$ ), which represents the universal adiabatic regime: (a) soliton amplitudes  $\chi$  and velocities  $V$ , and (b) soliton positions  $x'$  and phases  $\theta'$ . Blue dots and red circles represent solitons for two different realizations of the grown-up wavefield; red circles correspond to the same realization as in Figs. 1(a), 8(g), 9. The left inset in panel (a) shows zoom of the main figure, indicating that sufficiently large solitons have very small velocities and their amplitudes are strongly correlated between different realizations. The right inset in panel (a) demonstrates the ensemble-averaged PDF of soliton amplitudes  $P(\chi)$ , which looks rough since different realizations have very close sets of soliton amplitudes.

realizations have very close values of the higher-order invariants, see Section 4.4. Then, close values of the invariants at intermediate stages of the pumping indicate to a correlation of soliton amplitudes and velocities (which we observe) and a correlation of nonlinear dispersive waves between different realizations of the grown-up wavefield.

Unlike amplitudes and velocities, soliton positions  $x'$  and phases  $\theta'$  represent different random sets of values for each realization of the grown-up wavefield, see the examples in Fig. 10(b). However, these sets of values indicate very similar statistical distributions of positions and phases for different realizations. Note that the computed with the direct scattering transform position  $x'$  and phase  $\theta'$  coincide with those observed in the physical space only for the one-soliton solution (22). In presence of other solitons or dispersive waves, the

observed position and phase may differ considerably from  $x'$  and  $\theta'$ . In the IST theory, the so-called norming constants have a clearer meaning; in the dressing method formalism, the norming constants are connected with the positions and phases as

$$C_j = -\exp \left[ 2i\lambda_j x'_j + i\theta'_j \right], \quad (27)$$

where  $j$  is the soliton index number, see Appendix A. In the present paper, we use soliton positions and phases as a particular representation of the norming constants.

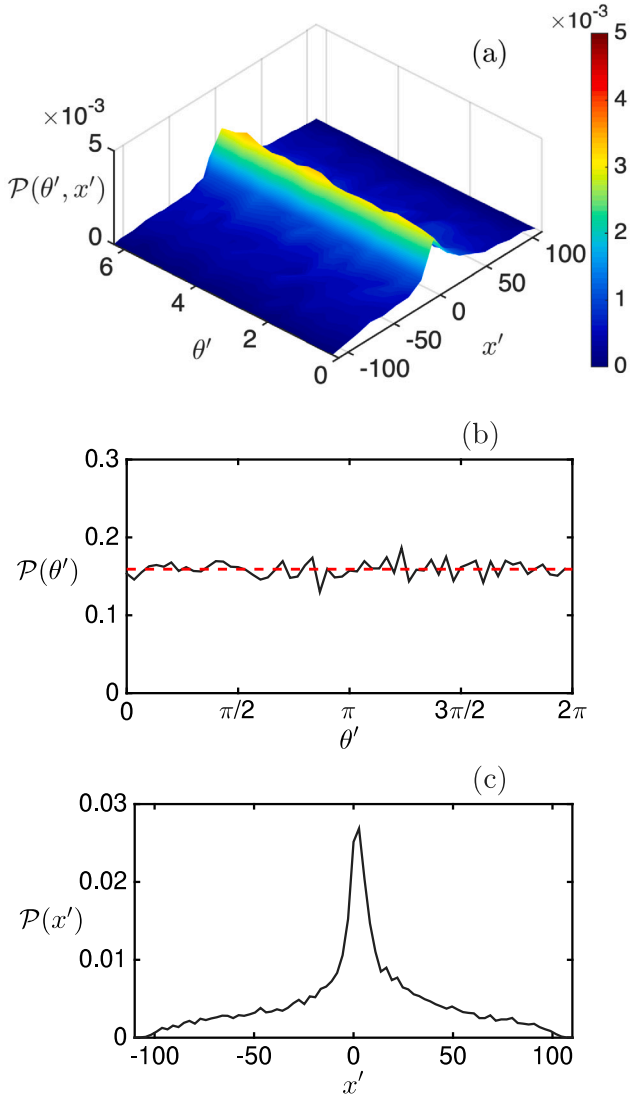
The ensemble-averaged joint PDF  $P(\theta', x')$  of soliton phases and positions, shown at the end of the growth stage in Fig. 11(a), indicates a uniform profile over phase  $\theta'$  at each position  $x'$ . Similarly, the ensemble-averaged PDF of soliton phases on the interval  $\theta' \in [0, 2\pi]$  is very close to uniform  $P(\theta') \simeq 1/2\pi$ , see Fig. 11(b). The same properties of these PDFs are observed at intermediate stages of the pumping as well.

The adiabatic regime of the turbulence growth moves successively through the statistically stationary states, and for this reason we think that, within the wavefield, there is no correlation between phases of different solitons. Indeed, if the phases are correlated, then turning off the pumping should lead to their randomization due to the different rates of their change with time for different solitons, see Eqs. (37)–(38) in Appendix A. This randomization should change the statistical state, so that the existing correlation of phases should mean a statistical state which is not yet stationary. Note that in [36] this hypothesis has been used in a different way, demonstrating that a bound-state soliton gas with random phases of solitons rests in a statistically stationary state. In Fig. 10(b), one can see a few groups of solitons having simultaneously close positions and phases; without further study of their temporal evolution, it is difficult to conclude whether this is a phase-locking or a coincidence.

The ensemble-averaged PDF  $P(x')$  of soliton positions, shown at the end of the growth stage in Fig. 11(c), is close to symmetric and has a sharp triangular shape near the center of the simulation box  $x = 0$ . We believe that the slight asymmetry observed in the figure is related to the finite ensemble size and will vanish if the latter increases. The full width at half maximum of  $P(x')$  equals  $L_0 = 13$ , that is much smaller than the basin length,  $L_0 \ll L$ , indicating a rather high spatial density of solitons,

$$\rho = \frac{n_s}{L}. \quad (28)$$

Indeed, for a rarefied multi-soliton solution, the physical positions of solitons coincide with parameters  $x'$  up to the pairwise space shifts [22], so that the characteristic width  $L_0$  of the distribution  $P(x')$  should be close to the total width  $L$  in order of magnitude,  $L_0 \sim L$ . With decreasing  $L_0$ , the multi-soliton solution should shrink and the soliton density should increase. Hence, the situation when  $L_0 \ll L$  should mean a dense soliton gas. Note that according to the empirical observations [35,36] the soliton density increases when the distribution



**Fig. 11.** (Color on-line) Ensemble-averaged PDFs of soliton phases  $\theta'$  and positions  $x'$  at the end of the growth stage of the base experiment ( $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$ ,  $p_0 = 10^{-5}$ ), which represents the universal adiabatic regime: (a) joint PDF  $\mathcal{P}(\theta', x')$  of soliton phases and positions, (b) PDF  $\mathcal{P}(\theta')$  of soliton phases and (c) PDF  $\mathcal{P}(x')$  of soliton positions. The red dashed line in panel (b) indicates the uniform PDF  $\mathcal{P}(\theta') = 1/2\pi$ .

of positions  $\mathcal{P}(x')$  becomes more concentrated, although we are not aware of a rigorous proof of this dependency.

For comparison, the solitonic part of the plain wave solution of unit intensity  $\psi = 1$  has all the solitons located at a single point (i.e.,  $L_0 = 0$ ) and spatial density  $\rho \approx 1/\sqrt{2\pi} \approx 0.23$ , see [68] (note that in that paper a different normalization of the 1D-NLSE was used). At the end of the growth stage of the base experiment, the ensemble-averaged soliton density reaches  $\rho = 0.24$ . In [35], we have used a slightly different definition of density, which accounts for the mean soliton amplitude,

$$\bar{\rho} = \frac{2n_s}{L\langle\chi\rangle}. \quad (29)$$

With this definition, the solitonic content of the plain wave has density  $\bar{\rho} \approx 2\sqrt{2}/\pi^2 \approx 0.29$ , while of the grown-up turbulence –  $\bar{\rho} = 0.32$ . Thus, in our experiments we observe a fairly dense soliton gases.

The existence of different density definitions (28) and (29) reflects the fact that the spatial characteristics of a soliton gas depend on a particular distribution of soliton eigenvalues (amplitudes and velocities). In the rigorous spectral theory of soliton gas, the product of local

spatial density and density of eigenvalues represents a fundamental quantity called the density of states, see e.g. [5], for which there exists a critical (maximum) value [6,7,32]. In the present paper, we use definitions (28) and (29) only to compare our results with those previously reported in [35,36,68] and conclude that we deal with a dense soliton ensembles.

As has been discussed in Section 4.2, the grown-up turbulence depends significantly on the Fourier spectrum of initial noise. Repeating our IST analysis for the experiments with other noise spectra, we come to the same conclusions as discussed above with the exception that soliton amplitudes and velocities turn out to be distributed differently. In particular, for the super-Gaussian initial spectrum  $s = 32$ , the majority of solitons have practically equal amplitudes, so that, with increasing mean amplitude  $A_f$ , the wavefield tends to a dense bound-state soliton gas which consists of identical solitons; see Appendix C.

### 5.3. Deviations from the universal adiabatic regime

Although in the present paper we focus on the universal adiabatic regime of the turbulence growth, in this subsection we briefly outline the main distinctions which we observe analyzing the scattering data for the experiments deviating from this regime.

First, while the wavefield still tends to a soliton gas in these experiments and at the end of the growth stage  $A_f = 1$  the fraction of solitons reaches 95 – 99% in the wave action, the distribution of soliton amplitudes becomes significantly wider. In particular, for the non-universal adiabatic experiment with increased initial noise amplitude  $A_0 = 6 \times 10^{-2}$  and the non-adiabatic experiment with increased pumping coefficient  $p_0 = 32 \times 10^{-5}$  (the other parameters are the same as for the base experiment), the maximum soliton amplitude reaches  $\max \chi \simeq 6$ , see Fig. 12(a, b), versus 3.5 for the base experiment with the universal adiabatic regime, see Fig. 10(a). The total number of solitons in Fig. 12(a, b) stays roughly the same at 95 – 105, so that the soliton density and mean amplitude remain virtually unchanged compared to the base experiment. For the non-universal adiabatic regime in Fig. 12(a), the increased distance between soliton amplitudes should be the result of the presence of solitons in the initial noise, since these solitons are affected by pumping from the very beginning of the growth stage, in contrast to the rest of the solitons, which have not yet emerged. Concerning the non-adiabatic regime in Fig. 12(b), we think that solitons are affected by self-organization, which is known to occur in non-integrable and dissipative systems [69–71], when solitons collide inelastically and the larger solitons grow while the smaller ones decay.

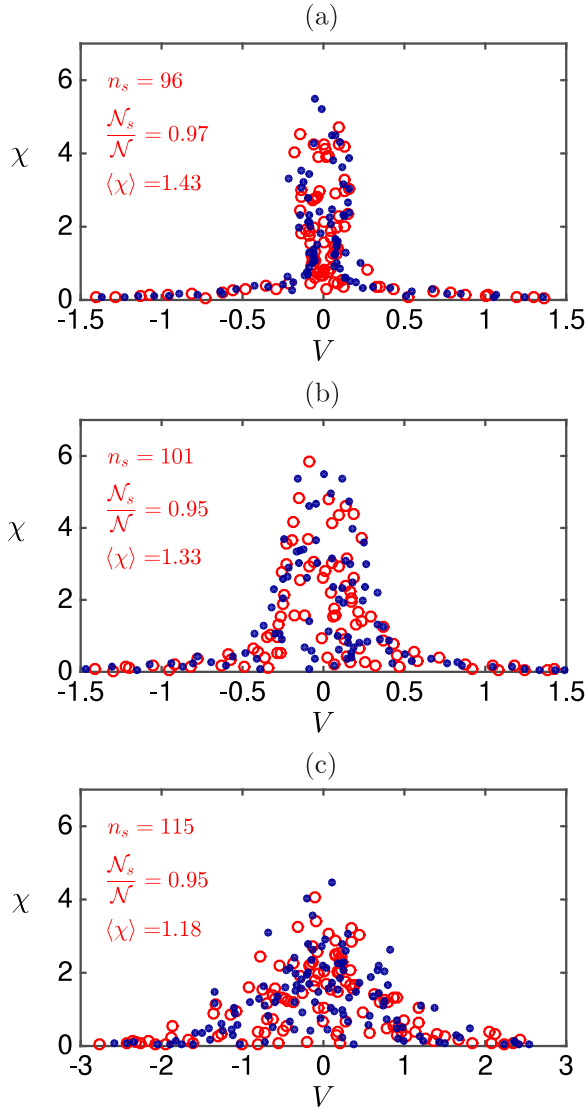
Second, even at the end of the growth stage of the two experiments in Fig. 12(a, b), large solitons still have noticeable velocities; hence, the wavefields for these experiments are almost purely solitonic states, which are still relatively far from being bound. Note that, at the same mean amplitude  $A_f$ , the universal adiabatic regime produces practically a bound state of solitons: see Figs. 8(g), 10 and also Fig. 14 in Appendix C for the super-Gaussian initial spectrum  $s = 32$ .

Third, for both experiments shown in Fig. 12(a, b), different realizations of the grown-up wavefield exhibit rather different sets of soliton amplitudes and velocities. This difference explains large deviations in the higher-order invariants (8)–(9) between different realizations of the grown-up wavefield, observed for the non-universal adiabatic and non-adiabatic regimes in Section 4.4.

Note that, in terms of the presented IST analysis, the non-universal adiabatic and non-adiabatic regimes do not differ qualitatively. We think that their difference lies in the correlation between phases of different solitons within the wavefield; this correlation should be absent for the non-universal adiabatic regime and present for the non-adiabatic regime.

Finally, in Fig. 12(c) we demonstrate solitons for the experiment with the PCW initial conditions discussed previously in Section 4.1. Compared to the base experiment, solitons of the PCW have slightly





**Fig. 12.** (Color on-line) Amplitudes and velocities of solitons at the end of the growth stage for the experiments with (a) non-universal adiabatic regime with increased amplitude of the initial noise  $A_0 = 6 \times 10^{-2}$ , see red lines in Fig. 3(g–i) and (b) non-adiabatic regime with increased pumping coefficient  $p_0 = 32 \times 10^{-5}$ , see red lines in Fig. 3(a–c) and Fig. 6. Panel (c) shows solitonic content for the experiment with the PCW initial conditions  $A_0 = A_f = 1$  (note the different scale for the velocity axis), see the dashed lines in Fig. 2. Blue dots and red circles represent solitons from two different realizations of the ensembles. For the realizations illustrated with red circles,  $n_s$  shows the total number of solitons detected,  $N_s/N$  demonstrates the ratio between the wave action of the solitonic part  $N_s$ , see Eq. (26), and the total wave action  $N$ , and  $\langle \chi \rangle$  indicates the mean soliton amplitude.

wider distribution by amplitude with the maximum amplitude reaching  $\max \chi \simeq 4$ , while the number of solitons is slightly larger,  $n_s \simeq 120$ , and the mean soliton amplitude is slightly smaller,  $\langle \chi \rangle \simeq 1.2$ . The fraction of solitons reaches 95% in the wave action; apparently, this means that wavefields with average intensity  $|\psi|^2 \simeq 1$  and characteristic spatial scale  $\delta x \simeq 1$  are predominantly solitonic. Note that we have verified that the pure multi-soliton solution constructed from the solitonic content demonstrated in Fig. 12(c) approximates the original PCW very well. Also, for the PCW case, the distribution of soliton velocities is significantly wider than for both the non-universal adiabatic and non-adiabatic experiments in Fig. 12(a, b), and different realizations of the PCW exhibit rather different sets of soliton amplitudes and velocities. In line with discussion in Section 5.2, we think that soliton phases within the wavefield are correlated for the initial PCW and uncorrelated for

the long-time statistically stationary state developed from these initial conditions.

## 6. Conclusions and discussions

We have studied numerically the integrable turbulence in the framework of the 1D-NLSE model using a new approach called the “growing of turbulence”. In this approach, a small linear pumping term is added to the equation and the evolution is started from statistically homogeneous Gaussian noise of small amplitude. After reaching a certain level of average intensity, the pumping is switched off and the resulting integrable turbulence is examined.

For sufficiently small initial noise and pumping coefficient, and also for not very wide simulation box (basin length), we have found that the turbulence grows in a universal adiabatic regime. In this regime, when we switch off the pumping, the resulting integrable turbulence turns out to be stationary and does not depend on the pumping coefficient, amplitude of the initial noise or basing length. Focusing on this regime and turning off the pumping at different moments of time, we have studied the whole family of novel statistically stationary states, which lie on the trajectory of adiabatic growth from weak nonlinearity through the states of intermediate nonlinearity to strongly nonlinear states. Analyzing these states, we have observed how the wave statistics changes from Gaussian when the nonlinearity is weak towards essentially non-Gaussian with a strong presence of rogue waves when the nonlinearity becomes strong. Using the IST method to monitor this evolution, we have found that the solitonic part of the wavefield becomes dominant even when the (linear) dispersion effects are still leading in the dynamics and with increasing average intensity the wavefield approaches a dense bound-state soliton gas, whose properties are defined by the Fourier spectrum of the initial noise. Regimes deviating from the universal adiabatic growth also lead to solitonic states, but solitons in these states have noticeably different velocities and a significantly wider distribution by amplitude, while the wave statistics indicates a much more frequent appearance of very large waves.

The conditions (5), (7) for adiabatic growth of turbulence have been suggested by us analytically from two requirements: (i) the pumping term must be small compared to the dispersion and nonlinearity terms of the 1D-NLSE and (ii) the initial state must be very weakly nonlinear and, therefore, practically stationary. In Section 4, we have checked numerically that when these conditions are satisfied the turbulence indeed grows adiabatically, and if not, then a non-adiabatic regime is realized. In particular, according to the condition (7), the adiabatic regime is very difficult to implement for large basins, since the upper bound on the pumping coefficient decreases as inverse square of the basin length. For large basins, a non-adiabatic regime may be realized, which is characterized by a stronger presence of rogue waves with their frequency increasing with the pumping coefficient or basin length. We also have found that, for a sufficiently small initial noise, the adiabatic regime turns out to be universal, as its growth trajectory does not depend on the noise amplitude. For such a regime, apparently, an additional condition (25) is needed for the absence of solitons in the initial noise. Experimentally, adiabatic and non-adiabatic regimes could be studied, for instance, in the context of water waves under wind forcing or propagation of light in optical fiber amplifiers, see e.g. [72–74]; the setups similar to the one used in [26] with recirculating fiber loop and distributed Raman amplification look especially promising. Note that a dense soliton gas – the state to which our wavefield tends during the turbulence growth – has been reported recently in a water wave experiment [39].

In the universal adiabatic regime, different realizations of the grown-up wavefield demonstrate a very close values of the 1D-NLSE invariants (8)–(9). This is rather surprising, since, as we have discussed in Section 2.3, evolution equations for the higher-order invariants at the growth stage contain functions dependent on specific wavefield;

see e.g. Eq. (18) for the total energy, which contains potential energy. The fact that, in the universal adiabatic regime, the invariants of different realizations actually coincide at all stages of the pumping apparently means that, during the time evolution, such wavefield-dependent functions are effectively averaged over time to identical time-dependent functions and lead to the same corrections to the evolving invariants. If true, this observation allows one to consider the conditions for adiabatic growth from another point of view, namely, that the time necessary for such an averaging must be much smaller than the characteristic pumping time. In terms of the analogy with an ideal gas in a box, to which one molecule is added from time to time, this condition is equivalent to the time interval between additions being sufficient for the system to reach a modified thermodynamic equilibrium. In turn, this allows one to understand the self-similarity of the adiabatic regime with time  $2p_0(t - t_0)$  discussed in Section 4.4: indeed, if this time interval is sufficient, then a twice larger interval (equivalent to twice smaller pumping coefficient) will lead to the same equilibriums and growth trajectory.

In our experiments, we have observed that, as the average intensity increases in the universal adiabatic regime, the wavefield tends to a bound-state soliton gas, in which solitons have zero velocities. Moreover, different realizations of the grown-up wavefield show (i) almost identical sets of soliton amplitudes and velocities and (ii) a very similar statistical distributions of soliton positions and phases, see Fig. 10. Hence, we can suggest that the universal adiabatic regime always grows the same soliton gas, which is defined by the Fourier spectrum of the initial noise and does not depend on the specific realization of the Fourier phases  $\phi_k$  in Eq. (19).

The IST analysis of the non-universal adiabatic regime (which depends on the noise amplitude  $A_0$ ) and non-adiabatic regime reveals coinciding patterns: in both cases, soliton amplitudes have a significantly wider distribution by amplitude, soliton velocities are noticeable, and different realizations of the grown-up wavefield show rather different sets of soliton amplitudes and velocities. We think that these distinctions from the universal adiabatic regime are caused by (i) the presence of solitons in the initial noise for the non-universal adiabatic regime and (ii) the process of self-organization of solitons for the non-adiabatic regime. A more comprehensive analysis of this problem can be done in the future within the IST perturbation theory [65,75,76], which allows one to predict the successive small changes in the soliton eigenvalues during the pumping stage.

Since the adiabatic regime differs from the non-adiabatic one in that the former evolves through the statistically stationary states of the integrable 1D-NLSE, and the latter through the non-stationary ones, we have suggested that the phase correlation between different solitons within the wavefield is indeed absent in the adiabatic regime and is present in the non-adiabatic one. To verify this hypothesis and exclude random coincidences of phases, we are going to explore in detail the time evolution of soliton parameters in a separate publication.

Our experiments have shown that the shape of the initial noise spectrum affects the wave statistics and the distribution of solitons in amplitude and velocity, but the main conclusions of the IST analysis remain unchanged. For instance, as shown in Appendix C for the super-Gaussian initial spectrum  $s = 32$ , the wavefield tends to a bound-state soliton gas as the average intensity increases, just like for the  $s = 2$  case. The only difference which we observe is that soliton amplitudes and velocities become distributed differently: almost all solitons have practically equal amplitudes and soliton velocities are distributed approximately uniformly within an interval which shrinks towards zero velocity with increasing average intensity. The possible future applications of our work may require the study of other examples of the initial noise spectrum. In such a case, we expect that the main conclusions of the IST analysis will remain valid, while the noise spectrum will determine the wave statistics and a particular distribution of solitons in amplitude and velocity.

## CRediT authorship contribution statement

**Dmitry S. Agafontsev:** Methodology, Software, Formal analysis, Investigation, Writing – original draft, Writing – review & editing, Supervision. **Andrey A. Gelash:** Methodology, Software, Formal analysis, Writing – original draft, Writing – review & editing. **Rustam I. Mullyadzhanyov:** Methodology, Writing – original draft. **Vladimir E. Zakharov:** Conceptualization, Supervision.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.

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## Appendix A. Theoretical formalism of the IST method

In this Appendix, we outline the concept of scattering data and the IST method for localized wavefields.

For the 1D-NLSE (1), the scattering data is found with the direct scattering transform (DST) procedure based on the Zakharov–Shabat (ZS) system of equations [21]. At a fixed moment of time, the latter represents the following auxiliary linear system for a vector wave function  $\Phi(x, \lambda) = (\phi_1, \phi_2)^T$ ,

$$\Phi_x = \frac{1}{\sqrt{2}} \begin{pmatrix} -i\lambda & \psi \\ -\psi^* & i\lambda \end{pmatrix} \Phi, \quad (30)$$

where  $\lambda = \xi + i\eta$  is a complex-valued spectral parameter (the eigenvalue) and the superscript T stands for the matrix transpose. Without loss of generality, we consider the spectral parameter in the upper half of the complex plane only,  $\eta = \text{Im } \lambda \geq 0$ , see e.g. [22] for detail.

Similarly to quantum mechanics [77], the scattering problem (30) for the wave function  $\Phi$  is introduced with the following asymptotics at infinity (the so-called “right” scattering problem, in contrast to the left scattering problem; see e.g. [78]):

$$\lim_{x \rightarrow -\infty} \left\{ \Phi - \begin{pmatrix} e^{-i\lambda x} \\ 0 \end{pmatrix} \right\} = 0, \quad (31)$$

$$\lim_{x \rightarrow +\infty} \left\{ \Phi - \begin{pmatrix} a(\lambda) e^{-i\lambda x} \\ b(\lambda) e^{i\lambda x} \end{pmatrix} \right\} = 0. \quad (32)$$

In this problem, the wavefield  $\psi(x)$  of the 1D-NLSE is considered as a potential for the scattering wave  $\Phi$ , while  $a(\lambda)$  and  $b(\lambda)$  represent scattering coefficients. Its bounded solutions exist for real-valued spectral parameter,  $\lambda = \xi \in \mathbb{R}$ , and also for complex-valued  $\lambda$ ,  $\eta = \text{Im } \lambda > 0$ , if and only if  $a = 0$ .

In the present paper, we consider only the wavefields with compact support, i.e., non-zero in a finite region of space. Then, the eigenvalues  $\lambda$  of the ZS system are presented by a finite number of discrete points  $\lambda_j$  (discrete spectrum) with  $\eta_j = \text{Im } \lambda_j > 0$ ,  $j = 1, \dots, n_s$ , and the real line  $\lambda = \xi \in \mathbb{R}$  (continuous spectrum), see [22]. Using the scattering coefficients  $a$  and  $b$  defined at  $\lambda_j$  and  $\lambda = \xi \in \mathbb{R}$ , we can construct

functions  $a(\lambda)$  and  $b(\lambda)$  as analytic continuations to the upper half of the  $\lambda$ -plane [79]. Then, the function  $a(\lambda)$  has simple zeros at the eigenvalue points,  $a(\lambda_n) = 0$  (we do not consider the degenerate case when an eigenvalue point represents a multiple zero).

The full set of the scattering data is a combination of the discrete  $\{\lambda_j, \rho_j\}$  and continuous  $\{r\}$  spectra,

$$\left\{ \lambda_j \mid a(\lambda_j) = 0, \operatorname{Im} \lambda_j > 0 \right\}, \quad \rho_j = \frac{b(\lambda_j)}{a'(\lambda_j)}, \quad r(\xi) = \frac{b(\xi)}{a(\xi)}, \quad (33)$$

where  $a'(\lambda)$  is complex derivative of  $a(\lambda)$  with respect to  $\lambda$ ,  $\rho_j$  are the so-called norming constants associated with the eigenvalues  $\lambda_j$ , and  $r(\xi)$  is the reflection coefficient defined at the real line  $\xi \in \mathbb{R}$ . Most importantly, if the potential  $\psi$  is governed by the 1D-NLSE (1), then the time evolution of the scattering data (33) is trivial,

$$\forall j : \lambda_j = \text{const}, \quad \rho_j(t) = \rho_j(0)e^{2i\lambda_j^2 t}, \quad r(\xi, t) = r(\xi, 0)e^{2i\xi^2 t}, \quad (34)$$

and the wavefield  $\psi$  can be recovered from it with the inverse scattering transform (IST) by solving the integral GLM equations [22]. Note that, in the general case, this can only be done numerically, asymptotically at large time, or in the semi-classical approximation [24,25].

The reflection coefficient  $r(\xi)$  corresponds to nonlinear dispersive waves, while the discrete eigenvalues  $\lambda_j$  together with the norming constants  $\rho_j$  represent solitons. In particular, for  $j = 1, \dots, n_s$ , the eigenvalues  $\lambda_j = \xi_j + i\eta_j$  contain information about soliton amplitudes  $\chi_j = 2\eta_j$  and velocities  $V_j = -2\xi_j$ , while the soliton norming constants are connected to their positions in space  $x'_j \in \mathbb{R}$  and phases  $\theta'_j \in [0, 2\pi)$ .

In the present paper, we also use soliton norming constants corresponding to the formalism alternative to the IST procedure — the so-called dressing method (DM) [22], also known as the Darboux transformation [80,81]. The DM norming constants are more favorable for the scattering data analysis, see [35,36,68]. For the reflectionless case  $r(\xi) = 0$ , the nonlinear dispersive waves are absent and both the IST and DM procedures can be performed analytically, leading to an exact  $n_s$ -soliton solution ( $n_s$ -SS)  $\psi_{n_s}(x, t)$ . The DM norming constants  $C_j$  are then related to the IST norming constants  $\rho_j$  as follows (see [57,82]),

$$C_j(t) = \frac{1}{\rho_j(t)} \prod_{m=1}^{n_s} (\lambda_j - \lambda_m^*) \times \prod_{l \neq j} \frac{1}{\lambda_j - \lambda_l}. \quad (35)$$

For an  $n_s$ -SS, the dressing method represents a pure algebraic recursive procedure [22,81,83], with the outcome which can be written as ratio of two determinants,

$$\psi_{n_s}(x, t) = -2i \frac{\det \tilde{\mathbf{M}}}{\det \mathbf{M}}, \quad M_{ml} = \frac{(\mathbf{q}_m \cdot \mathbf{q}_l^*)}{\lambda_m - \lambda_l^*}, \quad (36)$$

$$\tilde{\mathbf{M}} = \begin{pmatrix} 0 & q_{1,2} & \cdots & q_{N,2} \\ q_{1,1}^* & & & \\ \vdots & & \mathbf{M}^T & \\ q_{N,1}^* & & & \end{pmatrix},$$

where  $\mathbf{q}_j$  are two-component vectors,

$$\mathbf{q}_j(x, t) = \begin{pmatrix} q_{j,1} \\ q_{j,2} \end{pmatrix} = \begin{pmatrix} C_j^{-1/2} e^{i\lambda_j x} \\ C_j^{1/2} e^{-i\lambda_j x} \end{pmatrix},$$

and the dot in  $(\mathbf{q}_m \cdot \mathbf{q}_l^*) = q_{m,1}q_{l,1}^* + q_{m,2}q_{l,2}^*$  corresponds to the real-symmetric vector scalar product.

Within the DM formalism, the norming constants are related to the soliton positions and phases as

$$C_j = -\exp \left[ 2i\lambda_j x'_j + i\theta'_j \right], \quad (37)$$

and evolve with time as

$$C_j(t) = C_j(0)e^{-2i\lambda_j^2 t}. \quad (38)$$

The parameters  $x'_j$  and  $\theta'_j$  equal the observed in the physical space position and phase of a soliton only for the one-soliton solution (22).

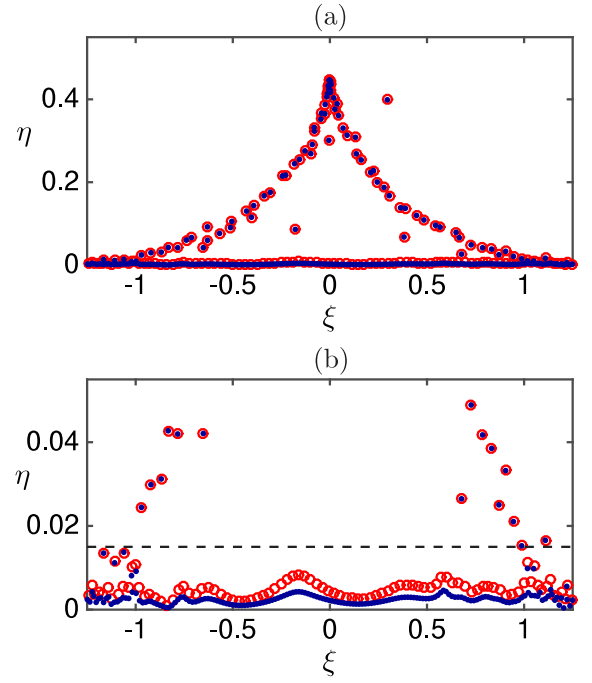


Fig. 13. (Color on-line) Eigenvalues  $\lambda = \xi + i\eta$  of the ZS system (30) computed with the FC method in the regions  $x \in [-3L/4, 3L/4]$  (red circles) and  $x \in [-L, L]$  (blue dots): (a) full eigenvalue spectrum for a single realization of wavefield grown with parameters  $L = 128\pi$ ,  $s = 2$ ,  $A_0 = 10^{-2}$ ,  $A_f = 0.5$  and  $p_0 = 10^{-5}$  (the same wavefield as in Fig. 8(e)), and (b) zoom of panel (a) at small values of  $\eta$ . The eigenvalues which coincide in the calculations over the two different regions  $[-3L/4, 3L/4]$  and  $[-L, L]$  correspond to solitons, while the other eigenvalues represent the continuous spectrum artificially shifted to the upper half-plane as a result of the wavefield periodization. The black dashed line in panel (b) indicates the threshold above which we assume the eigenvalues as belonging to the discrete spectrum for other realizations of the grown-up wavefield.

In presence of other solitons or dispersive waves, the observed position and phase may differ considerably from  $x'_j$  and  $\theta'_j$ .

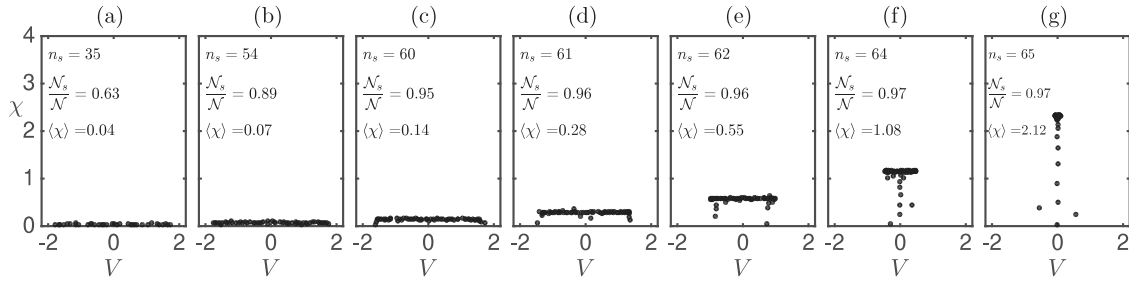
Finally, we note that, for a pure  $n_s$ -SS, the IST formalism allows one to find the 1D-NLSE invariants (8)–(9) straightforwardly via the soliton eigenvalues [22],

$$I_m = \frac{(2i)^m}{mL} \sum_{j=1}^{n_s} [(\lambda_j^m)^* - \lambda_j^m]. \quad (39)$$

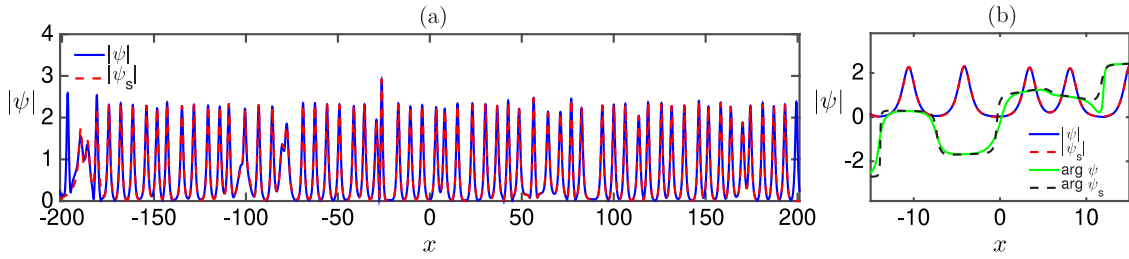
## Appendix B. Numerical approaches to the IST method

We compute the discrete spectrum (33) numerically using the standard DST algorithms [66,84,85] supplemented by our latest studies [56–58]. Although the soliton eigenvalues can be found with many variations of the DST methods [66,86,87], the calculation of the norming constants is a challenging problem leading to several types of numerical instabilities, including the so-called anomalous errors [57]. Here we use the following scheme, which guarantees an accurate identification of the full discrete spectrum.

First, we endow a wavefield with a compact support, assuming it to be zero outside the simulation box  $[-L/2, L/2]$ . Due to such a formulation, a few solitons located at the box edges split into two parts and introduce an inaccuracy in the scattering data. The dissected solitons affect the continuous spectrum and may lead to the appearance of artificial solitons smaller than them. However, the total number of solitons in each of our wavefields is relatively large,  $n_s \gtrsim 50$ , and we neglect this error. Also, to mitigate the discontinuity at  $x = \pm L/2$ , we smooth the wavefield at the box edges. The smoothing window is comparable with the characteristic width of solitons, i.e., it is of



**Fig. 14.** Soliton amplitudes and velocities for simulation from a single realization of initial conditions at different stages of the pumping: (a)  $A_f = 0.125$ , (b)  $A_f = 0.177$ , (c)  $A_f = 0.25$ , (d)  $A_f = 0.35$ , (e)  $A_f = 0.5$ , (f)  $A_f = 0.71$  and (g)  $A_f = 1$ . The simulation parameters correspond to the universal adiabatic regime:  $L = 128\pi$ ,  $s = 32$ ,  $A_0 = 10^{-2}$  and  $p_0 = 10^{-5}$ . Each dot represents a soliton within the studied wavefield,  $n_s$  shows the total number of solitons detected,  $N'_s/N$  demonstrates the ratio between the wave action of the solitonic part  $N'_s$ , see Eq. (26), and the total wave action  $N$ , and  $\langle\chi\rangle$  indicates the mean soliton amplitude.



**Fig. 15.** (Color on-line) Typical grown-up wavefield and its approximation with the multi-soliton solution  $\psi_s$ , generated from the discrete part of the scattering data, for the numerical experiment with parameters  $L = 128\pi$ ,  $s = 32$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$  corresponding to the universal adiabatic regime. Panel (a) shows absolute value  $|\psi|$  of the grown-up wavefield (solid blue) and multi-soliton solution (dashed red), while panel (b) represents zoom of panel (a) at the central region. Also, panel (b) illustrates complex phase  $\arg \psi$  of the grown-up wavefield (solid green) and multi-soliton solution (dashed black).

the same order as the region where solitons are dissected by the edge splitting. This means that the smoothing affects accuracy in a similar way as endowing wavefield with a compact support, that allows us to neglect the corresponding inaccuracy either.

Second, we compute soliton eigenvalues with the standard Fourier collocation (FC) method [66], which is fast and does not require more than  $\approx 2^{13}$  sampling points in our data processing. The FC method uses Fourier decomposition of wavefield, which leads to an artificial shift of the continuous spectrum eigenvalues to the upper half-plane as a result of the wavefield periodization. Additionally, the FC method does not distinguish between the eigenvalues of discrete and continuous spectra, leading to the problem of identifying low-amplitude solitons.

Third, to cope with this problem, we consider the wavefield in two different regions. The first region  $[-3L/4, 3L/4]$  is constructed by adding zeros  $\psi = 0$  in the intervals  $[-3L/4, -L/2]$  and  $[L/2, 3L/4]$ . The second region  $[-L, L]$  is obtained similarly, only the width of the added intervals is larger. Then, we execute the FC method over both regions and select those eigenvalues as belonging to the discrete spectrum, which coincide in these calculations, see Fig. 13. To optimize computational resources, we consider several realizations of the grown-up wavefield for each numerical experiment and determine a threshold  $\eta_{th}$ , above which all eigenvalues belong to the discrete spectrum; in Fig. 13, it is at  $\eta_{th} = 0.015$ . For subsequent realizations, we no longer check the eigenvalues using the two different regions above, but simply ignore all eigenvalues below the threshold and assume all eigenvalues above as belonging to the discrete spectrum.

The FC method provides a good approximation of the eigenvalues of the ZS system, i.e., zeros of the coefficient  $a(\lambda)$ . However, it has fundamental limitations due to the use of the Fourier approximation for a spatially localized wavefield with a compact support. To mitigate the anomalous DST errors [57], the subsequent steps of our procedure require knowledge of roots  $a(\lambda_n) = 0$  to hundreds of digits. The latter is not possible within the FC method, so that we use the calculated eigenvalues as seeding values for a high-accuracy root-finding procedure.

Thus, at the fourth step, we perform the main DST procedure using the standard second-order Boffetta–Osborne (BO) method [84], supplemented by high-precision arithmetic operations executed on a fine grid, as suggested in [56,57]. For high-precision operations, we use the *Wolfram Mathematica* software. High precision and a fine grid allow us to avoid (i) the round-off errors when calculating the wave function  $\Phi$  for the ZS system, (ii) the anomalous errors in the calculation of the norming constants, and (iii) the numerical instability of the wave scattering through a large potential; see [56,57] for detail. With the BO method, we can find  $a(\lambda)$  and  $b(\lambda)$  for any value of  $\lambda$  by discrete integration of the ZS system on the interval  $[-L/2, L/2]$  and with boundary conditions (31). We run the BO method together with the Newton method, using the eigenvalues obtained by the FC method as seeding values, and find the set of zeros  $\lambda_j$  of the function  $a(\lambda)$  with the requested precision.

Finally, we compute the soliton norming constants according to their definition given in Eq. (33). Note that instead of calculating the derivative  $a'(\lambda_j)$  straightforwardly, we find it from the extended scattering matrix of the BO method, see [84].

Our grid for the BO method consists of  $5 \times 10^4$  points, obtained using interpolation of the grown-up wavefield with the standard built-in procedures of the *Wolfram Mathematica* software. Numerical precision of all our arithmetic operations, including the accuracy goal for the root-finding, corresponds to 700 digits. We have checked that reducing the grid to  $4 \times 10^4$  points, as well as reducing the accuracy to 500 digits, does not change our results for the soliton norming constants.

### Appendix C. Universal adiabatic regime with the super-Gaussian initial spectrum

Repeating our IST analysis for the experiment with the super-Gaussian initial spectrum  $s = 32$ , see Eq. (19), and other parameters the same as for the base experiment  $L = 128\pi$ ,  $A_0 = 10^{-2}$ ,  $A_f = 1$  and  $p_0 = 10^{-5}$ , we come to the same conclusions as have been discussed in Section 5.2 with only one exception. Namely, as demonstrated



in Fig. 14, solitons become distributed differently in amplitude and velocity.

First, the total number of solitons  $n_s$  evolves differently during the growth stage. In particular, at  $A_f \leq 0.1$  we do not detect solitons within the wavefield, at  $A_f = 0.125$  we find already  $n_s = 35$  of them, at  $A_f = 0.177$  this number increases to 54 and then stays at 60–65 for all later stages, see Fig. 14. As shown in Fig. 8, the process of appearance of solitons for the  $s = 2$  case is smoother and longer. Different number of solitons at the end of the growth stage leads to different mean soliton amplitude,  $\langle \chi \rangle = 2.1$  versus 1.5, and different soliton density,  $\rho = 0.16$  versus 0.24, for the experiments  $s = 32$  and  $s = 2$  respectively.

Second, in contrast to the  $s = 2$  case where solitons are fairly densely distributed in amplitude from zero to maximum amplitude, almost all solitons for the  $s = 32$  experiment have practically identical amplitude. For the  $s = 2$  case, starting from sufficiently large mean amplitude  $A_f \geq 0.5$ , large solitons form a bound state with practically zero velocities, see Fig. 8, while for the  $s = 32$  experiment we observe that solitons are approximately uniformly distributed in the velocity interval, which shrinks towards zero velocity with increasing  $A_f$ , see Fig. 14.

Thus, during the growth stage of the experiment  $s = 32$ , the wavefield tends to a bound-state soliton gas which consists of identical solitons. The latter resembles the asymptotic stationary state that develops from the noise-induced modulational instability (MI) of a cnoidal wave, in the limit when this cnoidal wave represents a uniform lattice of large and narrow solitons which practically do not overlap with each other. In particular, a typical realization of wavefield at the end of the growth stage of the  $s = 32$  experiment, shown in Fig. 15 together with its reconstruction with the pure multi-soliton solution, is quite similar to the wavefield demonstrated in Fig. 16 of [14] for the MI of a cnoidal wave at long time. For the  $s = 32$  experiment, the PDF of relative wave intensity in Fig. 4(b) (red line) is also quite similar to the PDF reported in Fig. 21(a) of [14]. However, the wave-action spectrum in Fig. 4(a) (red line) does not demonstrate the characteristic peaks at equally spaced wavenumbers, which mark the asymptotic stationary state developed from the MI of a cnoidal wave, see Fig. 19(a) in [14].

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